

Modeling of Machining Processes for Predictive Analysis of Self-excited Vibrations

Luciano Vela-Martínez^{1, a}, Juan Carlos Jáuregui-Correa^{1, b},
Oscar González-Brambila^{1, c}, Gilberto Herrera-Ruiz²,
Alejandro Lozano-Guzmán³

¹CIATEQ, A. C. Unidad Aguascalientes. Circuito Aguascalientes
Nte. 135, Parque Industrial del Valle de Aguascalientes;
20355 Aguascalientes, Ags. ^avela@ciateq.mx,
^bjcjaur@ciateq.mx, ^coscarm@ciateq.mx

²DEPFI-UAQ. Centro Universitario Cerro de las Campanas,
76010 Querétaro, Qro. dgherrera@uaq.mx

³CONCYTEQ. Luis Pasteur Sur 36, Col. Centro;
76000 Querétaro, Qro. concyteq@prodigy.net.mx

Abstract

Chatter is a condition of instability that limits productivity of machining processes. This phenomenon was classified as a self-excited vibration problem; therefore, it has been studied under linear and nonlinear approaches. Even though regeneration theory and linear time delay models are the most widely accepted explanation of chatter, nonlinear effects of the process are disregarded. The nonlinear effects are characterized by the presence of limit cycles, the jump phenomenon, subcritical Hopf and period doubling bifurcations. Experimental results showed that nonlinear behavior can be represented by both structural and regenerative nonlinear terms; even though characterization of these nonlinear terms is under discussion. In this work, a review of the most outstanding models based on both linear and nonlinear approaches is presented. Additionally, predictive analysis of chatter for typical machining operations is also performed. It can be seen that a linear analysis is enough to obtain a good approach of stability conditions; however, nonlinear analysis is necessary to enhance productivity near unstable machining conditions.

Resumen

Las vibraciones auto-inducidas son una condición de inestabilidad que limita la productividad de los procesos de maquinado. Este fenómeno, comúnmente conocido como chatter, por su término en inglés, ha sido estudiado con métodos lineales y no lineales. Aunque la teoría de regeneración y los modelos lineales con retraso en el tiempo representan la explicación más aceptada del origen de la vibración, los efectos no lineales del proceso no se toman en cuenta. El comportamiento no lineal del fenómeno de vibración auto-inducida está caracterizado por la presencia de ciclos límite, el fenómeno de salto y bifurcaciones subcríticas tipo Hopf y de período duplicado. Resultados experimentales muestran que el comportamiento no lineal se puede representar mediante términos no lineales de tipo estructural y regenerativo, pero la caracterización de esos términos está en discusión. En este trabajo se presenta una revisión de los modelos más representativos del análisis de vibraciones auto-inducidas, basados en métodos lineales y no lineales. También se presenta un análisis predictivo de una operación típica de maquinado. Se concluye que el análisis lineal es suficiente para obtener una buena aproximación de las condiciones críticas de estabilidad; sin embargo, el análisis no lineal es necesario para mejorar la productividad cerca de condiciones inestables de maquinado.

Keywords:

Chatter, stability analysis, nonlinear dynamics, chaos, bifurcations.

Introducción

Chatter was first identified as a limitation of productivity, by Taylor (1907); however, theoretical explanations for chatter generation were proposed afterward, such as negative damping, by Arnold (1946); the theory of regeneration of chip thickness, by Tobias (1958); structural dynamics, by Tlusty (1963) and Merrit (1965); dry friction and modal coupling, by Wu and Liu (1985a, 1985b). The regeneration theory is still the most comprehensive explanation for chatter, where the destabilizing term was introduced in the cutting force as a function of the current and the previous cut. Tobias (1958)

concluded that instability was originated by the auto-excitation of the system from the dynamic variation of chip thickness, because a static chip thickness would not cause instability. It was Merrit (1965) who classified chatter as a kind of self-excited vibrations and presented stability charts in terms of process parameters, such as depth of cut and spindle speed. A first effort on modeling nonlinear behavior of chatter was performed by Hanna and Tobias (1974), who proposed a model with square and cubic terms to represent both structural and regenerative nonlinearities; even though the process of solution was limited, nonlinear behavior as fini-

te amplitude vibrations and the jump phenomenon was found.

Nomenclature

$A(t)$	Time and directional terms of cutting force function	τ	Time delay
$A(t), B(t)$	Matrix systems	Ω_{cr}	Critical spindle speed associated to critical depth of cut, RPM
a	Exergía específica, kJ/kg	ω_c	Chatter frequency, Hz
D	Depth of cut	ω_s	Tooth passing frequency
a/D	Immersion rate	ζ, ω_n, m and k	Modal parameters, such as: damping ratio (%), natural frequency (Hz), modal mass (kg), and structural stiffness (N/m), where $\omega_n = \sqrt{k/m}$
b	Entalpía específica, kJ/kg, kJ	$\zeta_x, \omega_{nx}, m_x$ and k_x ; $\zeta_y, \omega_{ny}, m_y$ and k_y	Modal parameters in X- and Y-direction
b_{cr}	Critical depth of cut previous to the onset of chatter		
c	Damping term, where $\zeta = c/2m\omega_n$		
F_{xp}, F_{yp}	Components of force from the j-th cutting tooth		
$F(t)$	Cutting force		
ΔF	Variation of the cutting force		
Δt	Step of time in the discretization scheme		
$g_j(\varphi_j)$	Unit step function for the j-th tooth		
G	Real part of the transfer function of the system		
$h(t)$	Dynamic chip thickness or feed rate		
h_0	Nominal chip thickness		
k	number regarding the length of the time period T		
K_f, K_t, K_r	coefficient in feed, thrust and radial direction, respectively		
N	Number of teeth on the rotating tool		
n	Integer number that represents a hypothetical number of waves on the machined surface		
$r = \omega_c/\omega_n$	Frequency ratio		
P_i, R_i, C_i	in the discretization scheme		
T	Principal period of time in the discretization scheme		
y_j	Discretized map approximation of the model		
$\bar{x}(t) = (x(t) \dot{x}(t))^T$	Differential vector		
$\ddot{x}(t), \dot{x}(t),$ and $x(t)$:	Acceleration, velocity and position of the cutting tool in X-direction		
$\ddot{y}(t), \dot{y}(t),$ and $y(t)$	Acceleration, velocity and position of the cutting tool in Y-direction		
$x(t-\tau)$	Displacement of the cutting tool in a previous revolution, or delay term, in X-direction		
$y(t-\tau)$	Displacement of the cutting tool in a previous revolution, or delay term, in Y-direction		
$\alpha_{xx}, \alpha_{yy}, \alpha_{yx}, \alpha_{xy}$	Time-dependant directional dynamic milling force coefficients		
α_r, β_i	Weights in the discretization scheme		
Λ	Characteristic equation		
κ	Ratio of the real and the imaginary part of the eigenvalue of the characteristic equation		
ε	Phase shift of the system		
Φ	The transition matrix in the discretization scheme		
$\phi_j(t)$	Angular position of the j-th tooth		
m_i	A series of integers regarding the length of the time delay in the discretization scheme		

More recently, Altintas (2000) developed a comprehensive technology for predictive analysis, based on the regenerative theory and supported by modal and frequency measurements. He applied this method for turning, milling and drilling. In addition, Altintas et al. (1999) proposed a theoretical model to improve dynamic stability through variable pitch cutters. Altintas and Budak (1995, 1998a, 1998b) proposed a two-degree of freedom linear model for the cutting tool in milling, where the cutting force was proportional to the chip section and depended on the instantaneous immersion angle of the j-th tooth on the rotating tool, geometry of tool and number of teeth. The loss of contact between the j-th tooth and the workpiece was modeled by a unit step function; however, this approach is valid for full or half-immersed problems, but not for highly interrupted cutting. Similar models were later used by Insperger and Stépán (2000), and Bayly et al. (2002), where numerical solutions were performed to take into account low radial immersion. Dry friction was identified as a main source of chatter due to its velocity-dependent nature (Wu and Liu; 1985a, 1985b). An extensive research on sources of chatter and theoretical modeling was performed by Wiercigroch and Budak (2001). They identified additional causes of instability that confer the chatter phenomenon a highly nonlinear nature. Among these causes were: hardening and softening by deformation, thermal softening, dependence of deformation rate, variable friction, heat generation and conduction, hysteretic behavior for feed, intermittent cutting of tool, and time delay. Likewise, Wiercigroch and Krivtsov (2001) found a chaotic behavior due to the effect of dry friction in orthogonal metal cutting. Tlustý (2000) found an experimental power law for the cutting force in terms of the chip thickness, called the three-quarter rule, attributed to the friction between the cutting tool and the workpiece during the cutting process.

In contrast with the classical linear theory, Stépán et al. (2003, 2005a, 2005b) included the aforementioned power-law function in the cutting force, for the nonlinear analysis of turning and high speed milling. As a result, period doubling (flip) bifurcations were found. Even though the power-law function was reached from experimental data, its work lacks of theoretical validation. Insperger et al. (2004a) analyzed the stability of the turning process through a single degree of freedom delay differential equation with a variable delay as a parametric excitation. These authors found that variation of spindle speed was highly effective in eliminating chatter

at low speeds; whereas improvement was not significant at high speed. Insperger et al. (2003, 2004b) and Mann et al. (2003) also analyzed the stability of models with one or two degrees of freedom for up-milling, down-milling and high speed milling with time dependent parameters. Flexibility in the cutting tool has also been taken into account; thus, the effect of compliance between the cutting tool and the workpiece was investigated by Bravo et al. (2005) for milling and by Vela-Martínez et al. (2008) for turning.

In summary, regenerative chatter is a highly nonlinear vibration problem with chaotic behavior; multiple sources of high nonlinearity are identified and complex models are in good agreement with experimental results. However, neither a unifying model nor an exact solution has been proposed yet. In this work, predictive analyses for typical machining processes are performed by using both linear and nonlinear approaches. From the obtained results, nonlinear behavior can be attributed to structural nonlinearities. Hence, future work to model nonlinearities from both the cutting force and the structural stiffness is under preparation, such that a better understanding of the phenomenon will be obtained.

Modeling of Self-Excited Vibrations

Regeneration theory and linear stability analysis.

The regeneration theory was proposed by Tobias (1958), and it establishes that the onset of chatter is due to an excitation of one of the mode shapes of the system, such that vibration causes a wavy surface on the workpiece and chip thickness varies at the subsequent cutting pass. Thus, current vibrations depend of both dynamics of the system and vibrations from the previous period of revolution. In a condition of severe chatter, vibrations can grow exponentially that the cutting tool can loose contact with the workpiece due to large amplitude vibrations. The first approach to represent the dynamics of the process of metal cutting was also proposed by Tobias through a single degree of freedom model, as shown in Figure 1 for a turning process.

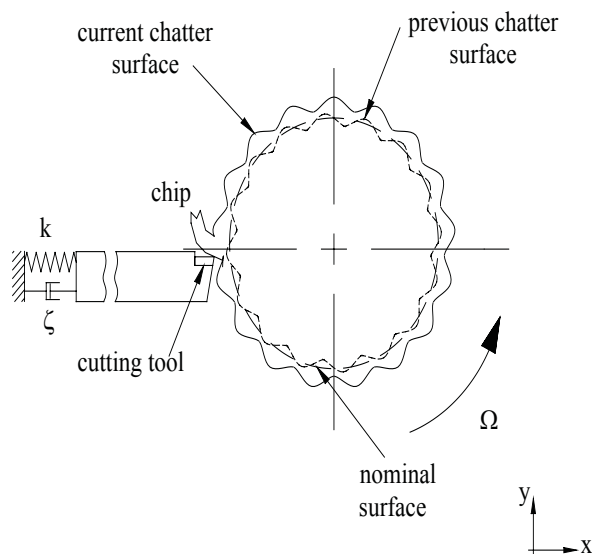


Figure 1 Single degree of freedom model for turning.

The cutting process originates a motion in the cutting tool, defined by $x(t)$, such that the equation of motion for the cutting tool is defined as follows:

$$\ddot{x} + 2\zeta\omega_n\dot{x} + \omega_n^2 x = \frac{1}{m}\Delta F \quad (1)$$

where ω_n , k , m , and ζ are the modal parameters, which can be experimentally obtained through an experimental modal analysis based on the impact testing (Altintas, 2000; Vela-Martínez et al., 2007a). According to the theory of regeneration, the dynamic chip thickness is given by the following expression:

$$h(t) = h_0 + x(t) - x(t - \tau) \quad (2)$$

If the Merchant model is used for the cutting force (Altintas, 2000), Equation (1) becomes:

$$\ddot{x} + 2\zeta\omega_n\dot{x} + \omega_n^2 x = \frac{1}{m}K_f b h(t) \quad (3)$$

A stability analysis of Equation (3) can be seen in Altintas (2000). Equation (3) is carried to the frequency domain by the Laplace transform with initial conditions set to zero. Afterward, from an expression of the chip thickness in frequency domain, the characteristic equation of the system is obtained by considering a pure imaginary root as condition of critical stability. Finally, the system will perform a chatter-free condition whenever the depth of cut is less than the critical depth of cut, which is given by:

$$b_{cr} = -\frac{1}{2K_f G} \quad (4)$$

where G is the real part of the transfer function of the system in terms of modal parameters and the ratio of the chatter frequency and the natural frequency $r = \frac{\omega_c}{\omega_n}$:

$$G = \frac{1 - r^2}{k \left[(1 - r^2)^2 + (2\zeta r)^2 \right]} \quad (5)$$

From Equations (4) and (5) it can be seen that the minimal depth of cut is obtained when G is minimum. The function G behaves as follows: G grows slowly as r approaches 1 from the left and reaches a maximum in the vicinity of $r = 1$; then, G decays drastically to cross the horizontal axis at $r = 1$. Then, G keeps decaying and reaches a minimum, after that, it starts growing slow and asymptotically to the horizontal axis. Thus, chatter frequency can be calculated as the frequency ratio, r , ranges from 1 to 1.15.

In addition, the spindle speed associated to the critical depth

of cut is calculated as follows:

$$\Omega_c = \frac{2\pi\omega_c}{\varepsilon + 2n\pi} \quad (6)$$

where n is an integer number that represents a hypothetical number of waves on the machined surface, from which multiple solutions of the system are obtained; whereas ε is the phase shift of the system:

$$\varepsilon = 3\pi + 2 \tan^{-1} \frac{\sin \omega_c \tau}{\cos \omega_c \tau - 1} \quad (7)$$

Even though any structure has an infinite number of modes of vibration, chatter analysis is usually performed only around the first mode of vibration, because it is the most flexible. Then, stability lobes from the second or third mode would appear above the corresponding to them of the first mode. Sometimes, any intersection between the upper part of the first mode lobes and the lower part of the second mode lobes occur such that first mode lobes look incomplete. For the purpose of this analysis, only the first mode of vibration will be taken into consideration.

On the other hand, the model of Budak and Altintas (1998a, 1998b) for milling was more refined since the cutting force was time and directional dependant, as well as loss of contact between the j -th tooth and the workpiece was modeled by a unit step function, such that it took a value of 1 during contact time and zero otherwise. Thus, equations of motion, which represents the cutting phenomenon from Figure 2, are expressed as follows:

$$\ddot{x}(t) + 2\zeta_x \omega_{n,x} \dot{x}(t) + \omega_{n,x}^2 x(t) = \frac{1}{m_x} \sum_{j=0}^{N-1} F_{xj} = \frac{1}{m_x} F_x(t) \quad (8)$$

$$\ddot{y}(t) + 2\zeta_y \omega_{n,y} \dot{y}(t) + \omega_{n,y}^2 y(t) = \frac{1}{m_y} \sum_{j=0}^{N-1} F_{yj} = \frac{1}{m_y} F_y(t)$$

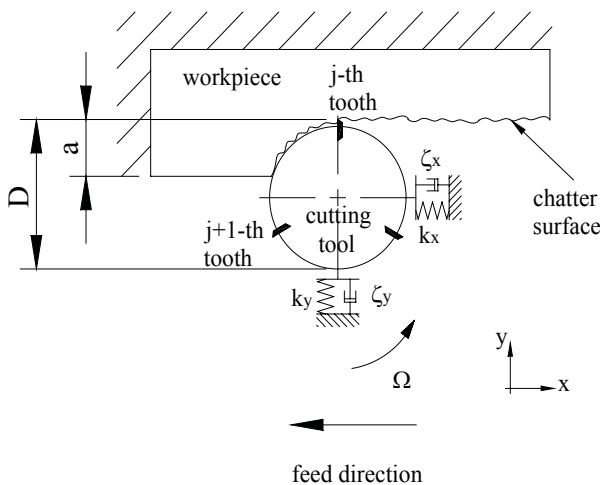


Figure 2 Two-degree of freedom model for milling.

Accordingly, the cutting force is given by:

$$F(t) = \frac{1}{2} b K_t \sum_{r=-\infty}^{\infty} \frac{1}{\tau} \int_0^{\tau} [A(t)] e^{-jr\omega_c t} dt \{x(t) - x(t-\tau), y(t) - y(t-\tau)\} \quad (9)$$

where the periodic terms $A(t)$ are time and directional dependant, $\{x(t) - x(t-\tau), y(t) - y(t-\tau)\}$ is the dynamic load of chip due to the vibration of the current and previous cutting pass, whereas $\tau = 2\pi/N\Omega$ is the tooth period at a spindle speed ω . K_t and K_r represent the cutting coefficient in thrust and radial direction, respectively.

Periodic terms of $A(t)$ are calculated as a Fourier series:

$$[A(t)] = \sum_{r=-\infty}^{\infty} [A_r] e^{jr\omega_c t} \quad \text{where} \quad [A_r] = \frac{1}{\tau} \int_0^{\tau} [A(t)] e^{-jr\omega_c t} dt$$

Summing the cutting forces contributed by all teeth, matrix $A(t)$ can be represented by time-dependant directional dynamic milling force coefficients:

$$[A(t)] = \begin{bmatrix} \alpha_{xx} & \alpha_{xy} \\ \alpha_{yx} & \alpha_{yy} \end{bmatrix}$$

where:

$$\begin{aligned} \alpha_{xx} &= \sum_{j=0}^{N-1} -g_j \left[\sin 2\phi_j + K_r (1 - \cos 2\phi_j) \right] \\ \alpha_{xy} &= \sum_{j=0}^{N-1} -g_j \left[(1 + \cos 2\phi_j) + K_r \sin 2\phi_j \right] \\ \alpha_{yx} &= \sum_{j=0}^{N-1} g_j \left[(1 - \cos 2\phi_j) - K_r \sin 2\phi_j \right] \\ \alpha_{yy} &= \sum_{j=0}^{N-1} g_j \left[\sin 2\phi_j - K_r (1 + \cos 2\phi_j) \right] \end{aligned} \quad (10)$$

Here, $g_j(\phi_j) = 1$ is the unit step function, and $\phi_j = \Omega\tau$.

The number of harmonics, r , of the tooth passing frequency to be considered is reflected in the accuracy of $A(t)$, which depends on both the immersion conditions and the number of engaged teeth. The simplest case corresponds to full immersion and no overlapping in the engagement of teeth, such that no harmonics, $r = 0$, are included in the analysis. Hence, Equation (10) becomes:

$$\begin{aligned} \alpha_{xx} &= \frac{1}{2} \left[\cos 2\phi - K_r (2\phi - \sin 2\phi) \right]_{\phi_{st}}^{\phi_{ex}} \\ \alpha_{xy} &= \frac{1}{2} \left[-\sin 2\phi - 2\phi + K_r \cos 2\phi \right]_{\phi_{st}}^{\phi_{ex}} \\ \alpha_{yx} &= \frac{1}{2} \left[-\sin 2\phi + 2\phi + K_r \cos 2\phi \right]_{\phi_{st}}^{\phi_{ex}} \\ \alpha_{yy} &= \frac{1}{2} \left[-\cos 2\phi - K_r (2\phi + \sin 2\phi) \right]_{\phi_{st}}^{\phi_{ex}} \end{aligned}$$

where φ_{st} and φ_{ex} are the entry and exit angle of the j -th tooth.

Linear stability analysis of Equation (8) was performed in order to obtain the characteristic equation in frequency domain, such that the critical depth of cut for a chatter-free machining was given by:

$$b_{cr} = -\frac{2\pi\Lambda_R}{NK_t} (1 + \kappa^2) \quad (11)$$

where Λ is obtained from the characteristic equation:

$$\Lambda = -\frac{NK_t b_{cr}}{4\pi} (1 - e^{j\omega_c \tau}) \quad (12)$$

Additionally, the spindle speed can be calculated by Equation (6); however, the phase shift of the system for milling is obtained as follows:

$$\varepsilon = \cos^{-1} \left(\frac{1 - \kappa^2}{1 + \kappa^2} \right) \quad (13)$$

This model is useful to predict stability for a milling process where the cutting tool is totally immersed or half-immersed into the material. However, when the width of cut is very small, the milling force is intermittent such that the average coefficients from the matrix $A(t)$ are limited for the analysis. Low immersion and intermittency of cutting tool is analyzed in the next Section.

Nonlinearities from the structure and the cutting force.

The turning process was modeled by Stépán et al. (2003) through a single degree of freedom model with a chip thickness function in terms of the three-quarter rule, proposed and experimentally proven by Tlustý (2000), as follows:

$$\ddot{x}(t) + 2\zeta\omega_n \dot{x}(t) + \omega_n^2 x(t) = \frac{1}{m} Kb (h_0 + x(t - \tau(t)) - x(t))^{3/4} \quad (14)$$

The cutting force F is approximated by a Taylor series respect to h , such that stability conditions can be represented by the following equations:

$$b_{cr} = -\frac{4}{3} \frac{k\sqrt[4]{h_0}}{K} \frac{\omega_n^2 - \omega_c^2}{1 - \cos \omega_c \tau} \quad (15)$$

and

$$\omega_c \tau = 2 \left(n\pi + \tan^{-1} \frac{(1 - r^2)}{2\zeta r} \right) \quad n = 1, 2, \dots \quad (16)$$

where $r = \frac{\omega_c}{\omega_n}$, $\tau = \frac{2\pi}{\Omega}$ and ω_c is the chatter frequency at which stability is lost. Even though, a theoretical validation of coeffi-

cients of the Taylor series was not presented.

Insperger et al. (2002, 2004b) used the semidiscretization method to solve the problem of low immersion milling for 1 and 2 degree of freedom delayed models. The advantage of this method is that only the delayed terms are discretized while the actual domain terms are unchanged, in contrast to full discretization techniques; thus, a finite dimensional discrete map approximation of the delayed differential equation is constructed. Consequently, the stability of the system depended on the nature of the eigenvalues of the Floquet transition matrix. Modeling of a 1 degree of freedom milling process is described below:

$$\ddot{x}(t) + 2\zeta\omega_n \dot{x}(t) + \omega_n^2 x(t) = \frac{1}{m} bK(t) (h_0 + x(t - \tau(t)) - x(t)) \quad (17)$$

where the cutting coefficient is time dependent:

$$K(t) = \sum_{j=1}^N g(\varphi_j(t)) \sin \varphi_j(t) (K_t \cos \varphi_j(t) + K_n \sin \varphi_j(t)) \quad (18)$$

Here, N is the number of teeth, K_t and K_n are the tangential and the normal cutting coefficients, respectively; whereas $\varphi_j(t)$ is the angular position of the j -th tooth, and the function $g(\varphi_j(t))$ is the unit step function to model contact between the cutting tool and the workpiece, such that it takes a value of 1 or 0 as the j -th tooth is in contact or not with the workpiece, respectively.

The discretization scheme proposed by Insperger et al. (2004b) is applied to Equation (17), which can be transformed to the following linear differential equation:

$$\dot{\bar{x}}(t) = \bar{A}(t)\bar{x}(t) + \bar{B}(t)\dot{\bar{x}}(t - \tau) \quad (19)$$

where:

$$\bar{A}(t) = \begin{pmatrix} 0 & 1 \\ -(1 + Kb / (m\omega_n^2)) & -2\zeta \end{pmatrix},$$

$$\bar{B}(t) = \begin{pmatrix} 0 & 0 \\ Kb / (m\omega_n^2) & 0 \end{pmatrix},$$

$$\bar{x}(t) = \begin{pmatrix} x(t) \\ \dot{x}(t) \end{pmatrix}.$$

In addition, $A(t + T) = A(t)$, $B(t + T) = B(t)$, $\tau(t + T) = \tau(t)$. First, the time interval discretization $[t_i, t_{i+1}]$, $i = 0, 1, \dots$ with length Δt , is constructed over a principal period of time $T = k \Delta t$, where k is an integer that can be considered as an approximation parameter of the time period.

A series of integers m_i , which can be considered as an approximation parameter of the length of the time delay, is introduced:

$$m_i = \frac{\tau_i + \Delta t / 2}{\Delta t} \quad (20)$$

If t_i is denoted by i , Equation (19) can be approximated in the i -th interval as:

$$\dot{\bar{x}}(t) = A_i \bar{x}(t) + B_i \bar{x}_{\tau_i} \quad (21)$$

where τ_i , A_i , and B_i is the following approximation of the delayed term on $[t_i, t_{i+1}]$:

$$\bar{x}(t - \tau) \approx \bar{x}(t_i + \Delta t / 2 - \tau_i) \approx \beta_i \bar{x}_i - m_i + \alpha_i \bar{x}_i - m_{i+1} = \bar{x}_{\tau_i} \quad (22)$$

with weights:

$$\alpha_i = \frac{m_i \Delta t + \Delta t / 2 - \tau_i}{\Delta t} \quad (23)$$

$$\beta_i = \frac{\tau_i + \Delta t / 2 - m_i \Delta t}{\Delta t} \quad (24)$$

The solution of Equation (19) for the initial condition $\bar{x}(t_i) = x_i$

means that:

$$\bar{x}(t) = \exp(A_i(t - t_i))(\bar{x}_i + A_i^{-1} B_i \bar{x}_{\tau_i}) - A_i^{-1} B_i \bar{x}_{\tau_i} \quad (25)$$

Thus, a map for x can be expressed as:

$$\bar{x}_{i+1} = P_i \bar{x}_i + \alpha_i R_i \bar{x}_{i-m_i+1} - \beta_i R_i \bar{x}_{i-m_i} \quad (26)$$

where

$$P_i = \exp(A_i \Delta t) \quad R_i = \exp(A_i \Delta t) - I A_i^{-1} B_i$$

The discrete map of Equation (26) can be expressed as:

$$y_{i+1} = C_i y_i \quad (27)$$

with the $(M+1)$ -dimensional vector

$$y_i = \{x_i \dot{x}_i x_{i-1} \dot{x}_{i-1} \dots x_{i-M} \dot{x}_{i-M}\}^T$$

and the coefficient matrix C_i is composed by the submatrices P_i , $\alpha_i R_i$, $\beta_i R_i$ and the identity matrix I .

The next step is to determine the transition matrix F over the principal period $T = k\Delta t$, According to the Floquet theory:

$$y_k = \Phi y_0 \quad (28)$$

where:

$$\Phi = C_{k-1} C_{k-2} \dots C_1 C_0 \quad (29)$$

The stability criterion is that if the eigenvalues of Φ are in modulus less than one, then the system is stable.

RESULTS AND DISCUSION.

In this Section, stability analyses for turning, as well as full and partial immersion milling are performed by applying the predictive models described in the last Section. Both linear

and nonlinear models are tailored to the machining process through the dynamic parameters of the system, which can be obtained from the impact testing, as mentioned above. The cutting coefficient can be obtained according to the material of the workpiece and geometry in terms of the immersion of the cutting tool. Results from the experimental modal analysis presented by Vela-Martínez et al. (2007a), for a turning process, are used in this work. An AISI 1018 50 mm diameter and 150 mm length steel bar, mounted in the chuck of a CINCINNATI MILACRON CNC HAWK TC-200 11 kW@5000 RPM turning center, was analyzed. Thus, the modal parameters of the systems were estimated as: $\omega_n = 402.83$ Hz; $\zeta = 7.58\%$; and $\kappa = 2\,912\,685.27$ N/m. In addition, a cutting coefficient of $K_f = 1,000 \times 10^6$ N/m² for steel was used.

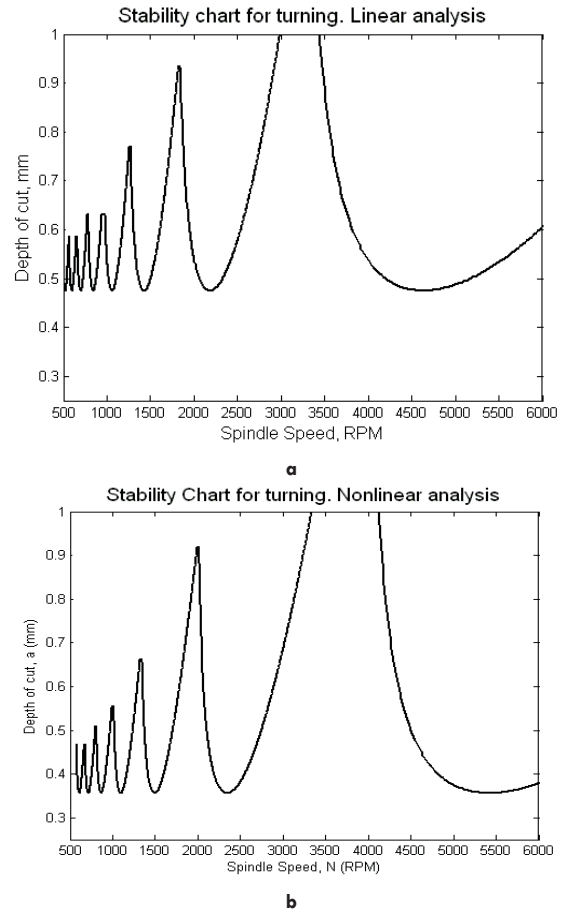


Figure 3 Stability chart for a turning process with, a) linear and b) nonlinear analysis.

A linear stability analysis of the turning process is shown in Figure 3a, the stability chart is constructed with Equations (4) and (6), where the chatter frequency is swept around the natural frequency of the system. On the other hand, limits of stability from the nonlinear analysis are constructed with Equations (15) and (16) and shown in Figure 3b. A general agreement between stable and unstable zones is obtained, even though some discrepancies are found. An unconditionally stable depth of cut of 0.48 mm is predicted by the linear theory, whereas 0.35 mm is predicted by the nonlinear analysis. This discrepancy can be attributed to the fact that feed ratio is affected by a $3/4$ power coefficient in the

nonlinear analysis; the cutting coefficient can also be a source of discrepancy because the same value was used in both models, however it could be measured in a different way for a proportional or a power-law function for the cutting force. On the other hand, nonlinear lobes look displaced respect to the linear lobes because shift phase between the cutting tool and the workpiece is taken into consideration in the linear analysis. If this shift phase is disregarded, both nonlinear and linear lobes are totally in phase.

The following modal parameters for a two degree of freedom milling process with a three-flute end mill and a workpiece made of aluminum, are taken from Budak and Altintas (1998a): $\omega_{n,x} = 603$ Hz; $\zeta_x = 3.9\%$; and $k_x = 5600$ kN/m, $\omega_{n,y} = 666$ Hz; $\zeta_y = 3.5\%$; and $k_y = 5700$ kN/m, $K_t = 600 \times 10^6$ N/m², $K_n = 0.07K_t$. Stability charts for full, $a/D = 1$, and half-immersion milling process, $a/D = 0.5$, are constructed with Equations (6), and (11) to (13), as shown in Figures 4a and 4b, respectively. The critical depth of cut for full immersion is 0.77 mm, whereas it is raised to 1.08 mm for half-immersion process. In fact, the stability borderline is also raised at the peaks of lobes for half-immersion process.

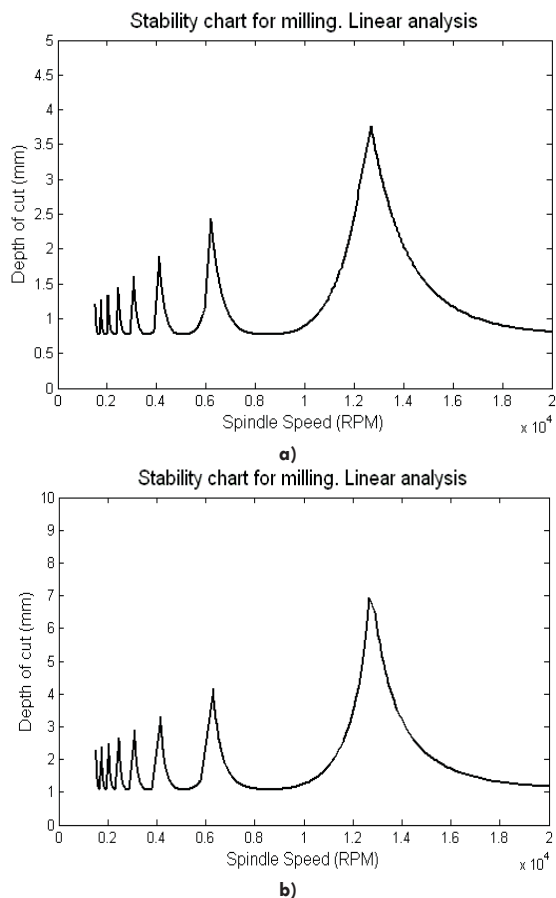
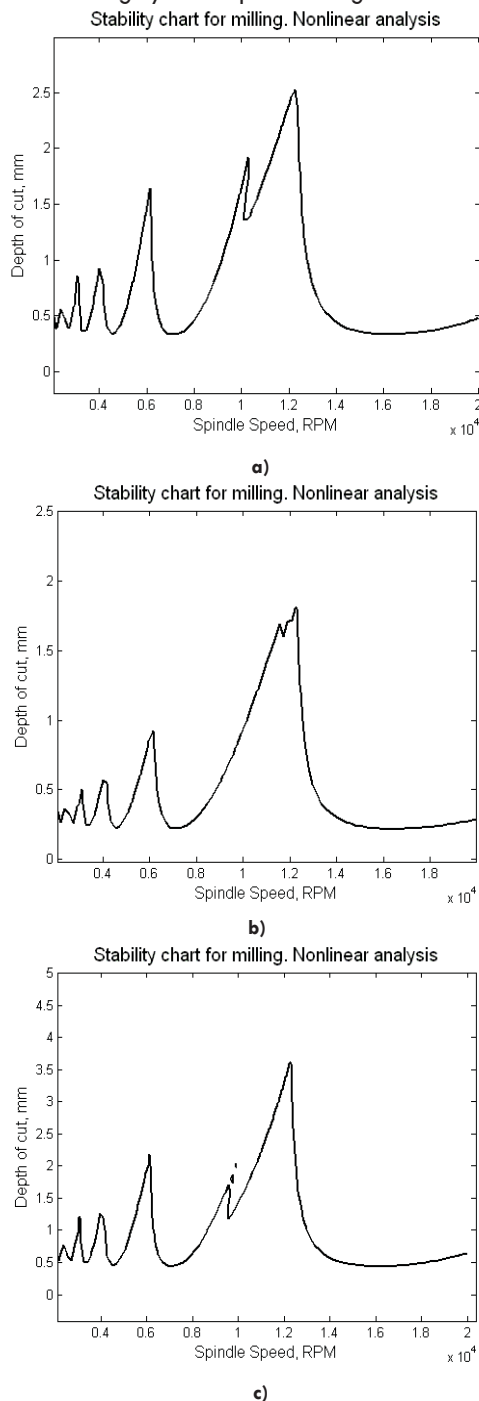


Figure 4 Linear stability chart for a) a full ($a/D = 1$) and, b) a half-immersion ($a/D = 0.5$) milling process.

Even though the discretized map approximation of Equation (27) was derived for the delayed single degree of freedom Equation (17), these results can be used to show the effect of low immersion milling. Stability charts for different immersion

rates, such as: $a/D = 1, 0.5, 0.2$, and 0.05 , are shown in Figure 5a-d, respectively. Good agreement with linear stability, in the arrangement of lobes along the spindle speed axis is found; however, stability is dropped when nonlinearities are taken into account; the critical and the maximum depth of cut at every lobe are smaller than in the linear analysis, whether for full or half-immersion process. On the other hand, stability is enhanced as the immersion rate is 0.5; since the cutting tool can remove a heavier chip thickness because the contact time with the workpiece is smaller. However, an interesting result is obtained when the immersion rate is 0.2 and 0.05; isolated instability conditions inside a larger stability zone are found because of the highly interrupted cutting.



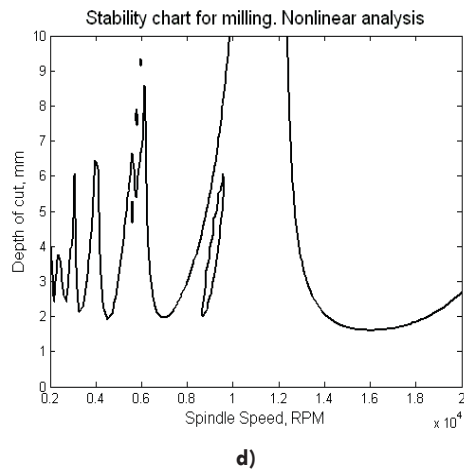


Figure 5 Nonlinear stability chart for partial immersion milling process for $a/D =$ a) 1, b) 0.5, c) 0.2, and d) 0.05.

From the preceding results, it can be summarized that linear stability analysis shows good accuracy for continuous turning, as well as full or half immersion milling. Models based on the power law-function showed nonlinear behavior as measured experimentally, even though theoretical validation of the nonlinear terms was not presented. On the other hand, solution of the discretized map approximation from the original delay differential equation showed intermittency of the cutting force as an important source of structural nonlinearity, even though the original cutting force of the model was a linear function. Hence, nonlinear behavior can be attributed to discontinuities of the cutting force, such that a nonlinear delayed model with structural nonlinear terms is suitable to represent self-excited vibrations in machining. Future work will be aimed to obtain structural nonlinearities from the experimental measurement of nonlinear behavior, such as the frequency response function.

CONCLUSIONS.

Chatter is a condition of instability related to dynamic characteristics of the machining system, which are defined by its modal parameters such as: natural frequency, damping ratio, mass and stiffness. Chatter is classified as self-excited vibrations with nonlinear behavior characterized by finite amplitude oscillations, limit cycles, as well as subcritical (Hopf) and period doubling (flip) bifurcations. Even though several sources of nonlinearities have been identified, regeneration theory and time delay differential equations are the most accepted explanation for the onset of chatter. Dynamic stability of machining processes has been analyzed under both linear and nonlinear techniques. Linear stability analysis is satisfactory for machining conditions such as: continuous turning or full immersion milling. However, nonlinear analysis is necessary for interrupted turning, high speed and low immersion milling, where loss of contact between the cutting tool and the workpiece has a dominant effect on the stability of the system. Consequently, prediction of stability is enhanced when models with structural and regenerative nonlinear terms

are used. Hence, a nonlinear delayed model with structural nonlinear terms is suitable to represent self-excited vibrations in machining. Even though characterization of these nonlinear terms is under discussion, intermittency of cutting seems an important source of nonlinearity, such that they can be obtained from the experimental measurement of nonlinear behavior, such that the frequency response function.

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