

Surveillance of nosocomial infections in a Mexican community hospital. How are we doing?

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Vigilancia epidemiológica de infecciones
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Abstract

Objective. To compare the nosocomial infection (NI) rate obtained from a retrospective review of clinical charts with that from the routine nosocomial infection surveillance system in a community hospital. **Material and Methods.** Retrospective review of a randomized sample of clinical charts. Results were compared to standard surveillance using crude and adjusted analyses. **Results.** A total of 440 discharges were reviewed, there were 27 episodes of NIs among 22 patients. Cumulated incidence was 6.13 NI per 100 discharges. Diarrhea, pneumonia and peritonitis were the most common infections. Predictors of NI by Cox regression analysis included pleural catheter (HR 16.38), entry through the emergency ward, hospitalization in the intensive care unit (HR 7.19), and placement of orotracheal tube (HR 5.54). **Conclusions.** Frequency of NIs in this community hospital was high and underestimated. We identified urgent needs in the areas of training and monitoring.

Key words: surveillance; infection control; risk factors; hospitals, general

Resumen

Objetivo. Comparar la tasa de infecciones nosocomiales (IN) resultante de la revisión retrospectiva de expedientes clínicos con los resultados del sistema rutinario de vigilancia de IN de un hospital general. **Material y métodos.** Revisión retrospectiva de una muestra seleccionada aleatoriamente de expedientes clínicos. Comparación con los resultados obtenidos por el sistema rutinario de vigilancia de IN. Análisis bivariado y multivariado de datos retrospectivos. **Resultados.** De 440 egresos hubo 27 episodios de IN en 22 pacientes. La incidencia acumulada fue de 6.13 IN por 100 egresos. Las infecciones más frecuentes fueron diarrea, neumonía y peritonitis. Los predictores de IN fueron catéter pleural (HR 16.38), ingreso por urgencias y estancia en cuidados intensivos (HR 7.19), y colocación de tubo orotraqueal (HR 5.54). **Conclusiones.** La frecuencia de IN fue elevada y subestimada por el sistema rutinario. Identificamos necesidades urgentes de monitoreo y entrenamiento en áreas específicas.

Palabras clave: vigilancia; control de infecciones; factores de riesgo; hospitales generales

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Nosocomial infections (NIs) continue to represent an important public health problem. The World Health Organization estimates that 8.4% of hospitalized patients suffer from NIs,¹ although estimates in developing countries have shown higher rates.^{2,3} Community hospitals are estimated to have lower rates, although still considerable.⁴ Infection control programs have been demonstrated to reduce NIs in hospitals with active surveillance programs.⁵ Therefore, it is important to validate surveillance results, particularly in settings in which infrastructure and resources are limited. Among the variety of methods proposed to validate surveillance of NIs, retrospective review of clinical charts has been shown to have a sensitivity of 70 to 80%.⁶

The primary goal of this study was to compare results obtained by a retrospective review of clinical charts with those obtained by routine standard surveillance of NIs in the internal medicine ward in a community hospital located in southern Mexico. Secondly, we determined risk factors and consequences associated with NIs. We consider that this hospital exemplifies the problems faced by many hospitals in developing countries and that the limitations identified apply to most community hospitals in Mexico and other similar settings.

Material and Methods

The hospital is located in Rio Blanco, with a population 39 327, located in a predominantly urban region in southern Mexico. It is affiliated with the Ministry of Health and has an estimated coverage of 1 million persons. Forty-six of its 118 beds are dedicated to internal medicine and surgery. During 2003 there were 7 598 discharges, of which 1 552 were from internal medicine. The hospital has had an infection control program since 1986. Procedures and definitions follow official guidelines.⁷ During 2003 this system reported a nosocomial infection incidence rate of 2.4 episodes of infections per 100 discharges from internal medicine. Hospital authorities requested that these results be validated. Institutional approval from the appropriate boards was obtained.

To review the effectiveness of the surveillance system, a sample was randomly selected from all patients who had been discharged from internal medicine during the study period (January to December, 2003). The clinical charts were reviewed for evidence of NIs that met the definitions established by the official norm.⁸ Discharges were reviewed by one of the authors (LR) and data were collected using standardized forms. A sample size of 500 discharges was planned to detect an infection rate of 2% from a total of 1 552 discharges,

with a power of 80% and α level of 0.05. This sample size included an additional 25% for lost charts.

Definitions

The definitions for NIs, infection sites, invasive procedures and classification of discharges followed standard guidelines.^{7,9} Underlying diagnoses were classified as described in the International Classification of Diseases.⁸

Statistical analyses

Data from a review of the 500 clinical charts were compiled for cumulated infection rates, types of infections and bacterial isolates at infection sites. Incidence rates were calculated as the number of episodes of nosocomial infection per 100 discharges and the number of episodes of nosocomial infection per days of hospitalization. For estimation of sensitivity, specificity and predictive value of the routine surveillance system we compared results of the review of the 500 clinical charts with what had been reported by the surveillance system. Patients who were determined by the retrospective review of clinical charts to have acquired NIs were compared with non-infected patients by bivariate and multivariate analyses to describe the association between diagnosis of nosocomial infection and relevant demographic, epidemiological and clinical characteristics. Length of stay for infected patients was computed from date of admission to date of diagnosis of first or only nosocomial infection, and for non-infected patients, from date of admission to date of discharge. Hazard ratios associated with NIs were estimated using the Cox proportional hazards model, using as reference time the period elapsed from first day of hospitalization to date of diagnosis of nosocomial infection or discharge. Variables were entered into the models according to their statistical significance in the univariate analysis and their biological relevance. Survival analyses included Kaplan-Meier curves to estimate the probability of acquiring a nosocomial infection. We used STATA 7.0 for data analysis.

Sensitivity analysis

We considered several sources of bias. We compared characteristics of patients who were randomly selected for retrospective review with the rest of patients who were discharged during 2003. Since we were unable to study 12% of selected patients we evaluated the possibility that patients whose clinical chart could not be found differed from patients who were included in the

study. First, we took advantage of the existence of an administrative data set that compiled selected socio-demographic and clinical variables from all patients and compared patients for whom we had a clinical chart with patients for whom the clinical chart could not be found. Second, we estimated the rate of NIs if 10%, 25%, 50%, and 100% of the missing charts had been diagnosed with NIs.

Results

There were 1 552 discharges from internal medicine during 2003. Of these, we randomly selected 500 discharges. There were 60 discharges that were either not available (n=31) or had been apparently miss-classified as discharged from internal medicine (n=29). Of the 440 discharges that were reviewed, 413 patients had been hospitalized once, 12 patients had been hospitalized twice and 1 had been hospitalized three times during 2003. Therefore, we analyzed 440 hospital discharges from 426 individual patients.

Frequency of NIs

There were 27 episodes of NIs for 22 hospital discharges among the 440 hospital discharges. Nineteen patients had one episode, two patients had two episodes and one patient had four episodes. Main types of infections were diarrhea (9/27, 33.33%), pneumonia (4/27, 14.81%) and peritonitis (3/27, 11.11%). Cumulated incidence was 6.13 infections per 100 discharges, with an incidence density of 1.63 episodes of infection per 100 patient/days. Of

the 27 episodes, cultures were performed in only 40.74% (n=11). The main microorganisms were *Candida albicans*, *Pseudomonas aeruginosa* and *Klebsiella pneumoniae*.

Patient characteristics and risk factors associated with infections

Characteristics of patients are described in Table I. Most of the patients were middle-aged men, and the average duration of hospitalization was less than 5 days. The most frequent underlying diagnoses were diabetes mellitus, renal failure, neoplasias, and diarrhea. There were no differences between infected and non-infected patients regarding underlying diagnoses. Fifty (11%) of the 440 patients died. None of the infected patients died.

Predictors of nosocomial infection by Cox regression analysis are shown in Table II. With the exception of orotracheal intubation, these associations were maintained when the model was controlled for underlying diagnoses and age.

Impact of NIs

Infected patients were found to have longer hospitalizations than non-infected patients ($p<0.001$), (Table I). This was observed for all patients regardless of underlying diagnoses and when patients were stratified for the most frequent underlying diagnoses. Mortality rates were similar between infected and non-infected patients. However, patients with NIs were more likely to be terminally ill when discharged than non-infected patients (Table I).

Table I
GENERAL CHARACTERISTICS OF PATIENTS. RÍO BLANCO GENERAL HOSPITAL, VERACRUZ, MEXICO, JANUARY -DECEMBER 2003

Characteristic	All n=440		Nosocomial infection n=22		No Nosocomial infection n=418		p value
	N	%	N	%	N	%	
Sociodemographic variables							
Age (X, s.d.)	52.18	19.62	54.40	17.57	52.07	19.73	0.5
Men (N, %)	234	53.18	13	59.09	221	52.87	0.5
Total hospitalization, days (X, s.d.)	4.23	5.24	13.63	10.80	3.74	4.25	<0.001
Duration of hospitalization (X, s.d.)	3.80	4.29	5.0	4.93	3.74	4.25	0.2
Type of hospital discharge							
Clinical improvement	313	71.14	15	69.18	298	71.29	0.7
Terminally ill when discharged	7	1.59	2	9.09	5	1.20	0.004
Death	50	11.36	0	0.0	50	11.96	0.08
Transfer	19	4.31	2	9.09	17	4.07	0.2

Table II
CHARACTERISTICS ASSOCIATED WITH NOSOCOMIAL
INFECTIONS USING MULTIVARIATE ANALYSES.
RÍO BLANCO GENERAL HOSPITAL.
VERACRUZ, MEXICO, JANUARY-DECEMBER, 2003

Predictors of infection	Nosocomial infection	
	HR [‡] (IC 95%)	p
Placement of pleural catheter	16.38 (1.96-137.16)	0.01
Emergency ward and intensive care unit*	7.19 (2.80-18.47)	<0.001
Orotracheal intubation	5.54 (0.94-32.62)	0.06
Antimicrobial treatment	.30 (.12-.78)	0.01

* Reference category
[‡] Hazard ratio, Cox regression analyses

Survival analyses

Figure 1 indicates the survival probability of the remaining non-infected patients, according to entry through the emergency ward and hospitalization in the intensive care unit. Patients having been hospitalized in these two services had a lower probability of remaining uninfected ($p=0.001$).

Sensitivity, specificity and predictive value of the routine surveillance system

The routine surveillance system reported 38 nosocomial infection episodes that occurred among the 1 552 discharges during 2003 (rate of 2.4 per 100 discharges). Of the 27 episodes that were detected through retrospective chart review, only five were also detected by the routine system. If the retrospective review is considered as the gold standard, the routine system had a sensitivity of 22.72%, specificity of 98.88%, positive predictive value of 50.0% and negative predictive value of 96.05%. The routine system did not detect the 9 episodes of nosocomial diarrhea.

Sensitivity analyses

The comparison between the 500 discharges that were selected for analysis with the non-selected 1 052 discharges from internal medicine during 2003 revealed that both groups were similar regarding age, sex, average days of hospitalization, cause of hospital discharge,

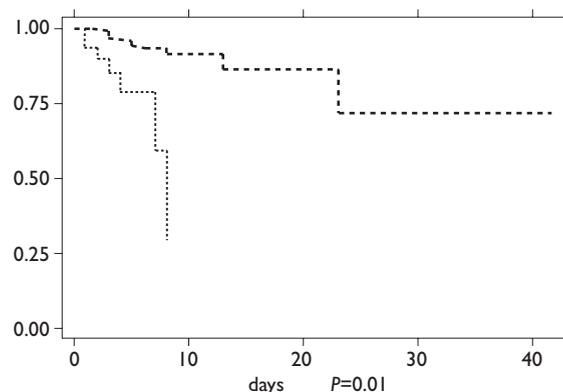


FIGURE 1. KAPLAN MEIER SURVIVAL CURVES TO ESTIMATE THE PROBABILITY OF REMAINING UNINFECTED, ACCORDING TO SERVICE PROVIDED. THE PROBABILITY OF REMAINING UNINFECTED FOR PATIENTS WHO ENTERED THROUGH THE EMERGENCY WARD AND THOSE WHO WERE HOSPITALIZED IN THE INTENSIVE CARE UNIT (---) WAS SIGNIFICANTLY LOWER ($p=0.001$) THAN FOR THE REST OF PATIENTS (.....).

main underlying diagnosis (diabetes, renal failure, neoplasias and diarrhea) and proportion of patients undergoing surgery. The comparison of the 60 discharges for whom the clinical chart could not be found with the 440 discharges whose charts were reviewed revealed that patients for whom the chart could not be found had a greater probability of death (48/440 [10.91%] versus 15/60 [25.00%] $p=0.002$) and renal failure (17/440 [3.80%] versus 6/60 [10.0%] $p=0.03$). Estimation of rates per 100 discharges if 0, 10, 25, 50 and 100% of the missing patients had been diagnosed with a nosocomial infection resulted in rates of 5.4, 6.6, 8.4, 11.4 and 17.4%, respectively.

Discussion

This study shows that a comprehensive understanding of the pattern of NIs in a hospital should be based on a comprehensive clinical and bacteriological study. We were able to document important limitations of the routine surveillance system, including underreporting and lack of bacteriological information. We consider that the reported rates are underestimated and that, most probably, real rates are much higher. Despite these limitations we were able to show the impact that NIs have on increased days of hospitalization. Additionally, we were able to identify procedures and hospital areas that represent a higher probability of infection. Finally, our data emphasizes the fact that the mere existence of

a nosocomial infection control program does not ensure the existence of "good" surveillance; it is necessary to validate its results and ensure adequate feedback to the rest of the hospital.

Since we were unable to review 12% of selected charts, non-reviewed and reviewed charts were compared, revealing that the former had a higher probability of renal failure as an underlying diagnosis or death. Since both of these conditions may be associated with NIs, we estimated the rates of infection if a different proportion of patients for whom we did not review the charts were infected. Our estimation revealed that the real rate of infections may be up to three times our observed rate. Two other conditions favor our impression that the real rate of NIs is probably underestimated. First, the retrospective nature of our study harbors limitations for detection of NIs that were not adequately documented in the chart. Second, usage of microbiological support was very limited, which led to predominant detection of infections based on clinical or radiologic criteria such as diarrhea, pneumonia or peritonitis. Infections requiring microbiologic confirmation were missed, such as bacteraemias and urinary tract infections. Since diarrhea, pneumonia and peritonitis were the predominant type of infections we would expect different patterns of etiologic agents (such as *Streptococcus pneumoniae*, *Clostridium difficile*, Enteropathogenic *Escherichia coli*, *Staphylococcus epidermidis*, among others.).

It is also worth noting that the sample size allowed us to estimate general incidence rates; it was not designed to estimate rates by type of underlying disease (for example among patients with renal insufficiency) or rates of associated mortality.

Our retrospective review revealed that the rate of NIs in the internal medicine ward in this community hospital is comparable to what has been reported in other community hospitals in developing countries.^{4,10,11} Diarrheas are most probably associated with infrequent hand washing by health personnel and patients,¹² and have been identified as a common complication in hospitalized patients.¹³ This problem had remained undetected by routine surveillance in the study hospital and suggests the possibility that this condition is considered as common and "normal" in a setting where gastrointestinal infections in the community are common. As we do not have bacteriological information, we are unable to exclude the fact that diarrheas could be due to non-infectious etiologies such as adverse reactions to drugs. Another problem was the high frequency of NIs associated with placement and use of devices, probably due to lack of training of personnel performing the procedures.^{14,15} Training in infection control practices has been demonstrated to

lower rates of infection in developing countries.^{16,17} Infected patients had a higher likelihood of having entered through the emergency ward and having been hospitalized in the intensive care unit, and multiple factors condition higher rates of infection in these areas; this needs to be carefully examined.^{18,19} In addition, a reduction greater than 50% in the rates of ventilator-associated pneumonia has been reported as a result of quality improvement methods.²⁰

The impact of NIs in developing countries is proportionately far greater than in developed countries,^{2,21-24} as rates are higher and resources are more limited. Increase in days of hospitalization, as demonstrated in our study, has important economic consequences.²⁵ Additionally, although we did not demonstrate increased mortality due to NIs, patients with this condition were more probable to be terminally ill when discharged. Although we were unable to determine if the nosocomial infection was causally associated with this illness, it may likely have contributed to worsening the prognosis.

Retrospective validation of prospective surveillance methods, although limited, has proved to be useful in other settings.²⁶ After improving routine surveillance, the hospital committee would need to select an appropriate validation system according to the resources and identified problems (prospective review of all clinical charts and laboratory reports, periodic evaluation of risk areas, and prevalence studies, among others).²⁷ Infection control programs have been identified as a starting point for quality assurance in that they are relevant to patient care and staff safety.^{28,29} Therefore, data from this study have important and challenging implications for the quality of care provided in the study hospital.

Our results indicate evidence of diverse severe problems that urgently need to be addressed in terms of training, use of prophylactic antibiotics and review of invasive and surgical procedures, particularly those that take place in the emergency ward or intensive care unit. This situation can probably be generalized to other community hospitals in the country. As occurs in hospitals with a larger number of beds, existence of official guidelines, in-hospital infection control committees and prospective surveillance systems are insufficient if not supported by a comprehensive approach that provides feedback regarding surveillance information on patient care.³⁰

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Declaration of conflicts of interest

We declare that we have no conflicts of interest.

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