First-principles study of the structural, electronic, and elastic properties of Sc₂SiX (X=C, N)

M. Mebrek a,b , M. Berber a,b,* , B. Doumi a,c , and A. Mokaddem a,b

^aLaboratoire d'Instrumentation et Matériaux Avancés, Centre Universitaire Nour Bachir El-Bayadh, BP 900 route Aflou, 32000, Algérie. *e-mail: berbermohamed@yahoo.fr *ORCID: http://orcid.org/0000-0003-1285-3070 ^bCentre Universitaire Nour Bachir El Bayadh, 32000 El Bayadh, Algérie. ^cFaculty of Sciences, Department of Physics, Dr. Tahar Moulay University of Saida, 20000 Saida, Algeria.

Received 6 September 2020; accepted 7 December 2020

Using ab-initio calculations, we studied the structural, elastic, and electronic properties of Sc_2SiX compounds with, (X=C, N). The negative formation energy and the positive cohesive energy indicate that these compounds are energetically stable and can be synthesized in normal conditions. Sc_2SiC and Sc_2SiN compounds are mechanically stable, estimated by the individual elastic constants. Elastic constants and modulus increase when C is substituted by N. The elastic anisotropy in Sc_2SiC is high compared to Sc_2SiN . Both nanolaminates are fragile in nature. Sc_2SiC is more conductive than Sc_2SiN . The calculated electron band structures and the density of states imply that the chemical bond in two compounds is a combination of covalent, ionic, and metallic nature. The main factors governing the electronic properties are the hybrid states Sc_3d , Si_3p , and C_2p and the bond (p-d) stabilizes the structure. Fermi's surface characteristics have been studied for the first time, which are changed when replacing N by C. Based on the estimate of the total energy, we conclude that the replacement of C by N will lead to a stabilization of the hexagonal structure and a decrease of the metallic support.

Keywords: MAX phases; ab-initio calculations; structural properties; electronic properties; elastic properties.

PACS: 71.15.Mb; 71.20.-b; 73.20.At; 71.18.+y

DOI: https://doi.org/10.31349/RevMexFis.67.500

1. Introduction

Tha MAX phases, the extension of the Hägg phases known since 1960 when Nowotny reported the discovery of more than 100 carbides and nitrides [1,2], represent an exceptionally extensive class of ceramics. They correspond to a general formula of the type: $M_{n+1} AX_n$ where M is a transition metal (Ti, V, Cr), A is a metal in general groups IIIA or IVA (Al, Si, P, S) and X is either C and/or N, and n = 1, 2, 3 [2,3]. Despite their relatively old discovery, their physical properties have been relatively little studied and it was not until 1996 that a systematic work of synthesis and characterization was undertaken by an American team from Drexel University (Philadelphia) led by Mr. Barsoum [4]. Most of the MAX phases are of M_2AX stoichiometry with the space group P63 / mmc [5]. Figure 1 shows the crystal structure of Sc₂SiX. These M₂AX has attracted more attention due to the fundamental properties, usually associated with both metals and ceramics [6]. In general, MAX phases showcase a metallic behavior [7-12], are good electrical and thermal conductors [13], have a high elastic modulus [13], are machinable [14], resitant to oxidation, tolerant to damage, elastically rigid, and present low thermal expansion [15]. Additionally, they have excellent thermal shock and corrosion resistance [16,17]. In addition, MAX phases are used as the replacement of machinable ceramics, furnace cabinets, wear and corrosion protection, heat exchangers, applications in which rotating parts are used,



FIGURE 1. Crystal structure of Sc₂SiX (X=C, N).

low friction applications based on the lubricating power of the basal plane [18]. In this work, the idea of the existence of a new class of superconducting materials. Despite all the efforts, it is obvious that the Sc_2SiC and Sc_2SiN MAX phase's compounds have not been subjected to theoretical and experimental studies. The purpose of our work is to calculate and study the structural, electronic, and elastic properties of the compounds Sc_2SiC and Sc_2SiN MAX phases, using first-principle calculations of density functional theory (DFT) within the full-potential linearized augmented planewave (FP-LAPW) approach. This document is organized as follows: computational details are described in Sec. 2, the results are discussed in Sec. 3, and finally, gives the conclusions.

2. Computational detail

Today, there are several codes with a wide variety of approximations that we can make use of for a theoretical study. In our calculations, we used the first-principle methods of density functional theory (DFT) based on the full-potential linearized augmented plane-wave (FP-LAPW) approach as implemented in WIEN2K code [19,20]. This application allowed us to study the structural, electronic, and mechanic properties of max phase types $M_{n+1} A X_n$ or M = Sc, A = Si, (X = C, N), n = 1 - 3 [1,2]. The algorithm is based on the density functional theory (DFT) within the local density approximation (LDA) proposed by Perdew and Wang [21] for the exchange correlations functional. It calculates the selfconsistent solution of the equations of Kohn and Sham [22]. The electronic configurations of the sets of the system studied are Sc:[Ar]4s² $4d^1$, Si:[Ne]3s² $3p^2$, N:[He]2s² $2P^3$ and C:[He] $2s^2$ 2P³. We have chosen radii Rmt such that there will be no overlap of the Muffin-Tin spheres; the values used are 2.6, 1.9, 2.8,1.6 for the Sc, Si, C, and N atoms, respectively. The number of points k used in the integration of the first Brillouin zone is (1500 k-points) for our structure this integration of k on the Brillouin zone is carried out using the Monkhorst and Pack of mesh [23], and $R_{mt}^*K_{max}$ is taken equal to 7 (where R_{mt} represents the smallest radius Muffin-Tin and K_{max} the cut-off of plane waves), within the spheres, the wave functions of the valence region extend to $l_{max} = 10$.

3. Results and discussion

3.1. Structural properties

Both Sc₂SiC, Sc₂SiN compounds crystallize in the Cr₂AlCd crystal structure. The positions of atoms in Sc₂SiX, (X = C, N) are as follows: C atoms are placed at the positions (0, 0, 0), the Si atoms are at (1/3, 2/3, 3/4) and the Sc atoms are at $(1/3, 2/3, Z_M)$ [12], where z is the internal free coordinate. We have first minimized the internal parameters Z_M by taking random lattice parameters to start our calculations because of the lack of any *a priori* information. We then performed detailed structural optimizations by reducing all energies to a minimum. Our results of the calculated lattice constants *a* and *c*, bulk modulus and its pressure derivative, and the optimized free internal parameters obtained with the LDA functional at 0 K are reported in Table I for Sc₂SiC and Sc₂SiN. These properties were determined by adjusting the

TABLE I. The equilibrium lattice parameters (a, c, and c/a), equilibrium volume, internal parameter Z(M), bulk modulus (B₀) in (GPa) and its pressure derivative (B') for Sc₂SiX (X = C, N).

	a(Å)	c(Å)	c/a	\mathbf{B}_0	B'	Z(M)	$V_0(\text{\AA}^3)$
Sc ₂ SiC	3.18	13.52	4.24	130.7	4.03	0.0893	116.76
Sc_2SiN	3.16	13.36	4.26	151.5	4.12	0.0886	108.29

total energy as a function of volume, using the Murnaghan equation [24]. The report (c/a) is in good agreement with the ideal values (Z =1/12= 0.0833) and with the theoretical compactness report (c / a = 4.89) [8]. From the results of Table I, we can say that the compound Sc₂SiN is harder and more stable than Sc₂SiC due to the high value of the compressibility modulus and it has minimum energy. We note that upon the substitution of C by N, the values of a and c increased slightly, a consequence of the electronegativity of nitrogen being larger than that of carbon. The bulk modulus increases by 13.73% as the C is substituted with N.

3.2. Formation and cohesive energy

To see the relative phase stabilities, we calculated the energy of formation for $Sc_2Si X,X=(C,N)$ using [5,25]

$$\mathbf{E}_{\text{For}}^{\text{Sc}_2\text{SiC}} = \frac{\mathbf{E}_{\text{Total}}^{\text{Sc}_2\text{C}} d^{\text{C}} - (x\mathbf{E}_{\text{bulk}}^{\text{Sc}} + y\mathbf{E}_{\text{bulk}}^{\text{Si}} + z\mathbf{E}_{\text{bulk}}^{\text{C}})}{x + y + z}, \quad (1)$$

where x, y, and z indicate a number of atoms in a unit cell, of Sc, Si, N, and C atom in cell respectively. $E_{For}^{Sc_2SiC}$, E_{bulk}^{Sc} , $E_{bulk}^{Si}, E_{bulk}^{C}, E_{bulk}^{N}$ are the calculated total energies. During calculation, Scandium is a centered cubic (space group Im³m, prototype W) [26], Silicon crystallized in a face-centered cubic (space group Fm3m,prototype Cu) [27], Nitrogen crystallized in the hexagonal structure (P63/mmc prototype C graphite) [28], Carbon Crystallized in the diamond structure (spatial group Fd3m), [29]. The calculation of the formation energy gives the interaction of the stability of the compound, giving a result of -0.72 eV/atom and -3.6 eV/atomfor Sc₂SiC and Sc₂SiN, respectively; these values are all negative, confirming that the structure of this phase can exist stably. Since the negative effects of the formation energy of Sc_2SiC , Sc_2SiN rise progressively, we can say that the Sc₂SiN configuration has a stronger capacity than the Sc₂SiC configuration, (see Table I). The cohesive energy of a solid is the energy necessary to disassemble it into its constituent parts, that is to say, its binding energy. This energy depends, of course, on what is designated by the constituent parts. These are usually the individual atoms of the chemical elements that make up the solid, but other conventions are sometimes used. It may be practical to define cohesive energy as the energy required to separate atoms into an isolated set. To confirm the structural stability we have calculated the cohesive energy of each compound using Eq. (2) [5,25,30].

$$E_{Coh}^{Sc_2SiC} = \frac{(xE_{Coh}^{Sc} + yE_{Coh}^{Si} + zE_{Coh}^{C}) - E_{Total}^{Sc_2SiC}}{x + y + z}.$$
 (2)



FIGURE 2. Calculated band structure of a) Sc_2SiC and b) Sc_2SiN with LDA approximation along the high symmetry directions in the Brillouin zone at ambient conditions.



FIGURE 3. Calculated band structure of a) Sc_2SiC and b) Sc_2SiN with GGA approximation along the high symmetry directions in the Brillouin zone at ambient conditions.

where $E_{Coh}^{Sc_2Si C}$ is the overall energy of the unit cell used in the present calculation, x, y, and z are the numbers of Sc, Si, C atom in unit cell, respectively, $E_{Coh}^{Sc_2Si C}$ refers to the total energy of type Sc₂SiC in the equilibrium configuration, and E_{Coh}^{Sc} , E_{Coh}^{Si} , E_{Coh}^{C} , E_{Coh}^{N} are the isolated atomic energies of the pure constituents [25]. The calculation of the cohesive energy is posted in Table I. The computed cohesive energies are 7.07 eV/atom and 4.98 eV/atom for Sc₂SiC and Sc₂SiN, respectively. From this result, it can be said that the Sc₂SiN compound is more stable than the Sc₂SiC compound due to the lower value of the cohesive energy.

3.3. Electronic properties

3.3.1. Band structures

We calculated the electronic band structures of Sc_2SiC and Sc_2SiN at equilibrium lattice parameters along with the high symmetry directions in the first Brillouin zone, with LDA, GGA, and GGA+TB-mBJ, which are presented in Fig. 2, 3, and 4, respectively. The conduction bands are shifted towards the valence bands and overlap significantly at the Fermi level, and the absence of a gap for the two compounds clearly indicates the metallic character. The states with energies below minus -10 eV, -14 eV below the Fermi level provided (C, N)



FIGURE 4. Calculated band structure of a) Sc₂SiC and b) Sc₂SiN with GGA+TB-mBJ approximation along the high symmetry directions in the Brillouin zone at ambient conditions.



FIGURE 5. Total and partial densities of states of a) Sc₂SiC and b) Sc₂SiN.

-2s states respectively, the states just at the Fermi level were mainly Sc-3d states, (C, N) -2p and Si-3s and 3p. This result confirms the metallicity of these two materials.

3.3.2. Densities of states

We have calculated the total and partial density of states (DOS) presented in Fig. 5a, 5b. We see that there is no band gap E_g at the Fermi level for the two materials, which allows us to deduce that these two compounds have a metallic bonding nature since the DOS has a large finite value at the Fermi level. Thus, the broad values of the Fermi energies

 (E_F) (see Table II) confirm this result. At the level of Fermi, the DOS is 2.025 and 1.695 states per unit cell per eV for Sc₂SiC and Sc₂SiN respectively. It can be concluded that Sc₂SiN is more conductive than Sc₂SiC. On the other hand, Sc-3d electrons play the dominant role in DOS, which primarily contributes to DOS at the Fermi level and should be involved in the conduction properties. Although 3d electrons are generally considered as low-efficiency drivers. The C-2p and Si-3p electrons do not significantly contribute to DOS at the Fermi level and are therefore not involved in the conduction properties. The partial DOS profiles in Fig. 5a, 5b show



FIGURE 6. Electronic charge density maps of a) Sc_2SiC and b) Sc_2SiN phases and binary c) ScC, d) ScN, e) ScSi. The Fermi surfaces of the two phases Sc_2SiC and Sc_2SiN are plotted in f) and g), respectively.

TABLE II. The calculated values of the energy of formation (E_{For}), bulk modulus (B₀), cohesive energies (E_{Coh}), and the valence electron concentration (val-el) for Sc₂SiX (X = C, N).

	Sc_2SiC	Sc_2SiN
E _{Eq}	-7407.880848	-7474.654553
E _{For(eV/atom)}	-0.72	-3.6
E _{Coh(eVatom)}	7.07	4.98
valence electron	60	62
B ₀ (Gpa)	130.7	151.5
$\mathbf{E}_{\mathbf{F}}$	0.51	0.60

another interesting feature: the hybridization peak is strongly dominated by the contribution of the (C, N) 2 -p, Sc-3d states, but there is weak hybridization of the Si-3p and Sc-3d states; this would be beneficial to the structural stability of Sc₂SiN. The main difference is that the electrostatic attraction of nitrogen is higher than that of carbon, and the electrical conductivity of Sc₂SiN is greater than that of Sc₂SiC. It can be concluded that the Sc-3d, Si -3p bonds are stronger in Sc₂SiN than in Sc₂SiC.

3.3.3. Charge densities and Fermi surface

As a rule of thumb, the nature of the chemical bond is related to the difference in electronegativity between the elements at play, as it informs us about the charge transfer and, consequently, on the nature of the bond in the materials. The MAX phases are generally stacks of a "hard" M-X bond and a "soft" M-A bond in the c direction. The Ti-C bond strength is much stronger than the Ti-Al bond in Ti 2AlC [31]. Figures 6a and 6b show the contours of the charge densities of $Sc_2Si X (X=C, N)$ in the (1120) plane, and the density contours for ScX binary compounds (X: C, N), Figs. 6c, 6d, in the plane (100), where the last two phases crystallize in the NaCl structure with the (space group $Fm\bar{3}m$), and ScSi binary compound crystallized in the cubic structure (F43m space group), Fig. 6e. The interaction of Sc-C in Sc₂Si C is covalent in nature and is very strong. At the same time, the more electronegative nature of C compared to Sc confirms the presence of the ionic bond between Sc and C, as seen in Fig. 6a, while the more electropositive nature of Si confirms the ionic bond between Sc and Si (see Fig. 6e). Therefore, the chemical bond in Sc₂Si C is metallic, covalent, and ionic in nature. Covalent behavior is due to local interactions (Sc, Si) of hybridization states and C-2p states. Furthermore, the chemical bond in Sc₂Si C is anisotropic with the metal bond in the Sc and Si layers which are parallel to the basal plane Fig. 6e, while, there are strong directional covalent and ionic bonds between the Sc-C atoms and Sc-Si. This strong anisotropy of the chemical bond is linked to the physical and mechanical properties of the materials laid; high melting points and large modulus of compressibility are expected from the strong covalent and ionic bond, while good electrical conductivity and plasticity are expected from the

existence of the metal bond. Therefore, Sc₂Si N demonstrates anisotropic chemical binding similar to Sc₂Si C. The chemical bond is covalent metallic-ionic in nature, with more contribution from ionic and metallic bonds, which result in anisotropic properties in Sc₂Si N. It can be concluded by analyzing the M-X bonds in ScC and the ScN -depicted in Figs. 6c and 6d- that the bond is characterized by a covalent and ionic contribution, and this character is essentially retained in the ternary compound Sc₂Si X. In fact, the metallic bond between Sc and Si in Sc₂Si X is also similar to the bond in ScSi. Finally, we also notice that by replacing C with N, the uniform region of charge density between MX layers is extended, which may be responsible for the large compressibility modulus, as discussed above of Sc₂Si N. Figures 6f, 6g represent the Fermi surface topology of the Sc_2SiX (X = C, N) compounds. It can be said that for the Sc₂SiC material, the center of the Fermi surface consists of a closed central sheet with additional sheets appearing at the corners of the Brillouin zone. For the material Sc₂SiN, the shape of the Fermi surface is different from that of Sc₂SiC, where the center of the Fermi surface is composed of several layers: the first is of cylindrical type and the last one adopts a prismatic shape. So the Fermi surface of the Sc_2SiX compounds (X = C, N) are due to the low diffusion of the Sc-3d and Si-5p states as shown in the bottom of Fig. 5.

3.4. Elastic properties and mechanical stability

The calculation of the elastic constants will make it possible to examine the mechanical stability of the ground state proposed by the FP-LAPW method. The elastic behavior of a hexagonal system is described by five independent constants $C_{11}, C_{33}, C_{44}, C_{12}$, and C_{13} and the sixth constant C_{66} is calculated from C_{11}, C_{12} [9]. These constants can be determined from a change of total energy as a function of the constraint. The evaluation of elastic constants was made from deformation formulas proposed by Wallace. We also find that the elastic constants of the different phases satisfy the following relation [11,32].

$$C_{11} > 0$$
, $(C_{11} - C_{12}) > 0$, and
 $(C_{11} + C_{12})C_{33} > 2C_{13}^2$. (3)

Calculations of second-order elastic constants of Sc_2Si X, X = (C, N) are presented in Table III. It can be said that the compounds Sc_2Si X, X = (C, N), are mechanically stable because all these elastic constants are positive and meet the criterion of mechanical stability [11] on top of the fact that all the elastic constants increase when C is replaced by N. Thus, it can be concluded that the Sc-N bonds are stronger than

TABLE III. Elastic constant C_{ij} for Sc_2SiX (X = C, N).
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	C ₁₁	C_{12}	C ₁₃	C ₃₃	C ₄₄	C ₆₆	B/C44
Sc ₂ SiC	224.62	77.3	73.67	309.86	100.92	73.66	1.32
Sc_2SiN	263.68	75.14	87.92	330.43	127.47	94.27	1.18

TABLE IV. The bulk modulus B, shear modulus G, Young's mod-
ulus E (all in GPa), Poisson's ratio v, anisotropic factor A, linear
compressibility ratio $f = K_c/K_a$ for Sc ₂ SiX (X = C, N).

	Е	В	G	A_1	A_2	A_3	v	f	G/B
Sc_2SiC	219.23	133.09	89.45	1.04	1.37	1.4	0.22	0.65	0.67
Sc_2SiN	263.52	149.99	109.14	0.85	1.35	1.15	0.207	0.67	0.73

the Sc-C bonds. The modules C_{ij} have a heavyweight in the study of materials, especially module C_{44} . From this quantity, several properties can be determined such as brittleness, ductility, among others. The two constants C_{11} and C_{33} are connected to the directions *a* and *c*, respectively, while C_{44} is related to the shear constraint. The module of C_{44} shows that Sc_2SiN is harder than Sc_2SiC , a result that is similar to that found in the optimization part.

The modulus B, the shear modulus G, Young's The modulus E, the Poisson ratio for Sc_2SiX , X = (C, N), get from the individual elastic constants by the Hill approximation, this approximation is based on the approaches of Reuss and Voigt are given In Table IV, with $B=(B_V+B_R) = B_H$ (Hill'sbulk modulus) and $G=(G_V+G_R)=G_H$ (Hill's shear) [31,32]. The Young's modulus E and the Poisson's ratio v are determined by the relations E=9 BG/(3 B+G) and v = (3B - E)/6B[33,34], where the bulk modulus B determines the resustance of a material to change its volume and characterizes the response to hydrostatic pressure. The shear modulus G represents the resistance of a material to change shape and Young's modulus determines the resitance against uniaxial tensions. These are important parameters for defining the mechanical properties of a material [35]. These results are presented in (Table IV) and reveal that the compressibility modulus, shear modulus, and Young modulus for $Sc_2Si X$, X = (C, N), are between the maximum and minimum possible values of these modules for the phases 211 MAX described in Refs. [9,6]. We see that all the modules increase (B, G, E, v) when C is replaced by N. We conclude that

- The Sc₂SiN compound is stiffer and harder than Sc₂SiC due to the high value of the Young's modulus and compressibility modulus.
- From the Pugh Criterions [37], a material must behave ductile if $\mu_D = G/B < 0.5$ and fragile otherwise. In our calculation, μ_D (Sc₂SiC) = 0.67 and μ_D (Sc₂SiN) = 0.73 are almost equal, implying that Sc₂SiC and Sc₂SiN behave like fragile materials.
- An additional point for the brittle/ductile conduct of this phase results from the evaluated Poisson's ratio. According to Frantsevich *et al.* [38], metals with a Poisson's ratio of about 1/3 are ductile, whereas metals with a Poisson's ratio of less than 1/3 are deduced to be fragile; the values for Sc₂SiC and Sc₂SiN are 0.22 and 0.23, respectively.

- Another useful factor is the machinability index $\mu_{M=B}/C_{44}$ [33,39]. In our calculation, μ_M (Sc₂SiC) = 1.32 and μ_M (Sc₂SiN) = 1.27, values which are greater than μ_M (Ti₂AlC) = 1.23 [18], but much less that for the 211 MAX phases with the greatest machinability: μ_M (W₂SnC) = 33.3 and μ_M (Mo₂PbC) = 15.8 [12].
- We have determined the elastic anisotropy factors, A₁, A₂ and A₃ for the hexagonal crystal determined by the ratio between the linear compressibility coefficients along with the a- and c-axis. There are three independent elastic shear constants for hexagonal crystals; thus, three shear-type anisotropy factors can be determined by [11]

$$\begin{split} A_1 &= \frac{1/6(\mathbf{C}_{11} + \mathbf{C}_{12} + 2\mathbf{C}_{33} - 4\mathbf{C}_{13})}{\mathbf{C}_{44}}, \\ A_2 &= \frac{2\mathbf{C}_{44}}{\mathbf{C}_{11} - \mathbf{C}_{12}}, \\ A_3 &= A_1 \cdot A_2 = \frac{1/3(\mathbf{C}_{11} + \mathbf{C}_{12} + 2\mathbf{C}_{33} - 4\mathbf{C}_{13})}{\mathbf{C}_{11} - \mathbf{C}_{12}} \end{split}$$

These anisotropy factors are presented in Table IV, and any deviation of more than or less than 1 corresponds to an elastic anisotropy. The magnitude of the deviation from 1 is a measure of the degree of elastic anisotropy possessed by the crystal [18]. Finally, the ratio between the linear coefficients of compressibility $f = K_c/K_a$ of the hexagonal crystals with the *a*- and *c*-axis, it is defined [11,12] $f = K_c/K_a = (C_{11} + C_{12} - 2C_{13})/(C_{33} - C_{13}).$

The results show that for Sc₂SiC and Sc₂SiN, f = (0.65, 0.67), respectively. Furthermore, since these values of f are less than 1, the compressibility along the *c*-axis is smaller than alogn the *a*- axis for both compounds. For comparison, this parameter for the isoelectronic Nb₂SnC compound is f = 0.94, i.e., it lies close to the isotropic limit f = 1 [12,33,40].

4. Conclusion

In summary, using the augmented planar wave (FP-LAPW) method, based on the DFT, within the LDA, GGA, and GGA+TB-mBj. We studied the structural, electronic, and elastic properties of Sc_2SiC , Sc_2SiN compounds. Our results show that the substitution of C by N in Sc_2SiC affects the structural, elastic, and electronic properties of the material. We calculated both formation energies of the carbon-based and the nitrogen-based compounds, which allow us to conclude that the synthesis of these two compounds can be realized. The state Sc-3d and C-2p are stronger than the state Sc-3d and Si-3p. Sc_2SiX , X = C, N, has a metallic-covalentionic character in nature. Fermi's surface properties were studied for the first time, and the replacement of N by C increases all elastic constants. The elastic anisotropy of Sc_2SiN is higher than that of Sc_2SiC .

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