A comparison of the effect of multiple scattering on first and second order X-ray diffraction from textured polycrystals, for the investigation of secondary extinction

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The integrated intensity of Debye-Scherrer (D-S) rings, arising from an eventual second diffraction process of a diffracted X-ray beam, was calculated. This represents the amount of intensity not arriving at the detector as oriented to register the first diffraction process, and as result, a measure of secondary extinction. Thus the objective is to investigate in this way if secondary extinction affects measurements of X-ray diffraction from textured polycrystals. This has been suggested by differences of pole density maxima observed between measured first and second order pole figures in strongly textured materials. Calculations are performed for a detector scan (varying only 2θ), and the integrated intensity is determined for first and second order diffraction conditions of a general plane (*hkl*). Normalization through corresponding powder is performed. It is found that this especial case of multiple scattering effect, indeed affects both orders essentially in the same way. If corresponding detector scan measurements verify this, then the observed differences between pole density maxima of pole figures of different order cannot be attributed to secondary extinction. Instead, they can be attributed to heterogeneous texture or error propagation. On the other hand, if the detector scans do exhibit a difference as that of pole density maxima, these differences can possibly be attributed to primary extinction.

Keywords: Secondary extinction; texture; X-ray diffraction

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1. Introduction

Several X-ray diffraction measurements of textured polycrystal pole figures have shown that density maxima of second order reflections are almost systematically larger than those of first order reflections of the same sample plane. For example, the pole density maximum of vacuum annealed silver with a conventional recrystallization texture (dominant component [110] $\langle 011 \rangle$) is 6.1 for pole figure 111, and 9.2 for pole figure 222, both measured with $K\alpha$ Mo radiation. Alternatively, a similar sample, annealed in oxygen atmosphere, shows maxima of 11.9 and 14.6 for pole figures 111 and 222 respectively, when measured with K α Cu radiation. It is expected that pole figures have to be identical for different reflection orders because they are produced by the same crystallographic planes. Consequently, measurements as those above described have motivated the assumption that diffraction from polycrystals is affected by the extinction phenomenon. This is clearly relevant for single crystals where first order reflections are affected more strongly than second order reflections. However in the case of polycrystals, if secondary extinction is noticeable, it has to be one of the main causes on the above mentioned pole density differences. This has led us to investigate systematically on the differences of pole figures [1-3]. However, determination of pole figures requires several steps of calculations, namely: background subtraction, defocusing correction and normalization, and as result, error propagation could have different effects on both first order and second order reflections, since

they exhibit strong intensity differences. Additionally normalization needs all measured intensity values. This includes zones with poor statistics as pole figure edges, and this could have a large influence in biasing pole density maxima.

A method has been devised here to verify if secondary extinction is present in textured polycrystals, by a detailed consideration of intensities of multiple scattering in a more direct way. This is justified to avoid large error propagation from lengthy calculations and normalization. As it is well known, secondary extinction in mosaic crystals is observed in rocking curves measurements, where the Ewald sphere presents essentially only one possible reflection, and the possibility of a second reflection only in a direction very close to the primary beam. In such a case, secondary extinction results as an absorption term of the form qPQ where g is inversely proportional to the width Δ of the crystallite orientation distribution, P depends on polarization, and Q is the integrated reflection per unit volume [4]. This absorption term is comparable to the true absorption coefficient μ , for characteristic Δ values of mosaic crystals, *i.e.* minutes or seconds of arch. In principle the extinction absorption term can be negligible for misorientation widths found in polycrystals even with a sharp texture. Nevertheless, the Ewald sphere becomes a set of concentric shells in polycrystals, and many other possible secondary reflections take place, contributing to the intensity loss as registered by the detector, *i.e.* to secondary extinction. Also, in textured polycrystals a rocking curve is not a sharp peak, but a "mountain" composed by several local texture components. It is therefore unsuitable for extinction determination. Instead a detector scan is appropriate. It should also be mentioned that the irradiated volume remains constant in a detector scan, as well as in pole figure measurements by the Schulz reflection method. This volume depends only on the beam cross section and μ .

In the following, the integrated intensity of secondary D-S rings of a textured sample is evaluated, and compared for first and second order diffraction conditions of a general plane (hkl), after normalization by corresponding two theta scans of powder samples. Such rings arise from the diffracted beam, of two theta scans of reflections H = (hkl) and 2H = (2h, 2k, 2l). Differences from these reflections would indicate the extent secondary extinction affects pole figure determination.

2. Theoretical procedure

Let a two theta X-ray diffraction scan be measured in a sample in the form of a conventional plate, oriented at the angles (χ, φ) as for the measurement of a pole figure point for a reflection H = (hkl), χ is the tilting angle and φ is the angle of rotation around the sample normal. Let this point be the maximum pole density of the pole figure, although it can be any other point of the pole figure, as long as sufficient intensity is obtained. Let the detector be in a position to receive the diffracted beam on the equatorial plane with wave vector k and θ the Bragg angle of reflection H, as shown in Fig. 1. Let also ω be an angle characterizing the points of any secondary D-S-ring, in the interval from 0 to 2π .

The intensity of reflection H, denoted here as $I_H(\chi, \varphi)$, is not uniform along the $D - S_H$ ring due to texture, and therefore not given by the well known expression for the intensity of powder. It must be modified by a factor $\eta_H(\chi, \varphi)$ which is the ratio of the diffracting crystallites volume $V_H(\chi, \varphi)$ of the sample, to the diffracting crystallites



FIGURE 1. Measurement layout: S is the sample, k_0 and k are the incident beam and the diffracted beam wave vectors respectively, k' is the wave vector of the twice diffracted beam. D-S is one of the set of secondary D-S rings produced by planes H' and k now as the incident wave vector, ND is the conventional normal direction of the sample, N is the normal to the sample, which coincides with ND at the starting of a pole figure measurement, D is the detector. $2\theta_H$ is the angle between k_0 and k, χ is the tilting angle, and ω is an angle characterizing any point on the secondary D-S rings.

volume of powder. $\eta_H(\chi, \varphi)$ is therefore the pole density appearing in pole figures. $V_H(\chi, \varphi)$ is the volume of the crystallites diffracting in the direction of the detector, *i.e.* in a small segment of the $D - S_H$ ring, proportional to the height of the detector slit. Since the aim of this investigation is a comparison of two orders of reflections from the same planes, only relative intensities are necessary, and so, we can write $I_H(\chi, \varphi)$ simply as

$$I_H(\chi,\varphi) = I_H^p \eta_H(\chi,\varphi) d_H(\chi) \tag{1}$$

where I_H^P is the relative integrated intensity of the powder per unit arch length for the same reflection H, and $d_H(\chi)$ the defocusing factor, which for a fixed Bragg angle depends only on χ . I_H^P can be expressed as (See for example Eq. (4-21) of [5]):

$$I_H^p = |F_H|^2 p_H \frac{1 + \cos^2 2\theta_H}{\sin^2 \theta_H \cos \theta_H} e^{-2M_H}$$
(2)

with F_H the structure factor, p_H the multiplicity factor and e^{-2M_H} the temperature factor, for reflection H. Particularly, the absorption coefficient is considered constant, and therefore not included. This does not mean that absorption is considered negligible. Also, since intensity is measured as counts per second, no angular velocity of the detector is needed.

The intensity of the D-S ring of any of the secondary reflections H' of the textured sample is also non uniform due to texture, *i.e.* it depends on ω ; the primary beam intensity is given by (1), and for the new incident wave vector the sample orientation (χ, φ) changes to some other orientation (χ', φ') . Furthermore, χ' and φ' become functions of ω . As result for any of the secondary diffraction events, a similar equation as (1) can be used, replacing $\eta_H(\chi, \varphi)$ by a function $\rho_{H'}^H(\omega)$. For any differential segment at the angle ω of the D-S ring of radius 1, the diffracted intensity is

$$dJ_{H'}(\chi,\varphi,\omega) = I_H(\chi,\varphi)I_{H'}^p\rho_{H'}^H(\omega)d\omega$$
(3)

This ring is not measured by the detector, and consequently there is no need to include defocusing.

The integrated intensity of the secondary D-S ring, $J_{H'}$, is then

$$dJ_{H'} = I_H I_{H'}^p \int_0^{2\pi} \rho_{H'}^H(\omega) d\omega \equiv I_H I_{H'}^p V_{H'}^H$$
(4)

where $V_{H'}^H$ is the total volume of crystallites diffracting on the H' secondary D-S ring, relative to powder, when the incident beam comes from reflection H.

It should be noticed that in (3) and (4), the incident beam for the second diffraction process is taken as the diffracted beam from the first diffraction process. Therefore this has to be taken only as an approximation, since the diffracted beam from the first process is not homogeneous. The integrated intensity of all secondary D-S rings is then

$$J_{D-S} = I_H \sum_{H'} I_{H'}^p V_{H'}^H$$
(5)

And the intensity passing through the receiving slit and registered by the detector is

$$\mathfrak{J}_{H} = I_{H} - J_{D-S} = I_{H} \left[1 - \sum_{H'} I^{p}_{H'} V^{H}_{H'} \right] \equiv I_{H} \Delta q_{H} \quad (6)$$

where

$$\Delta q_H = 1 - \sum_{H'} I^p_{H'} V^H_{H'} \tag{7}$$

Multiple scattering is also produced in powders. In this case $\rho_{H'}^H(\omega) = 1$, $V_{H'}^H = 2\pi$ and the corresponding Δq_H for powder is

$$\Delta q_{H}^{p} = 1 - 2\pi \sum_{H'} I_{H'}^{p}$$
(8)

the corresponding intensity arriving at the detector is

$$\mathfrak{J}_{H}^{p} = I_{H} \Delta q_{H}^{p} \tag{9}$$

The normalized integrated intensity giving the pole density at point (χ, φ) of the pole figure is from (6) and (9)

$$i_H = \frac{\mathfrak{J}_H}{\mathfrak{J}_H^p} = \frac{\Delta q_H}{\Delta q_H^p}$$

And the corresponding normalized integrated intensity for the second order reflection 2H is

$$i_{2H} = \frac{\mathfrak{J}_{2H}}{\mathfrak{J}_{2H}^p} = \frac{\Delta q_{2H}}{\Delta q_{2H}^p}$$

Comparison of these two expressions leads to the following: Any difference between Δq_H and Δq_{2H} can only come through the coefficients $V_{H'}^H$ and $V_{H'}^{2H}$, *i.e.* the total volume of the diffracting crystallites on every H' D-S ring. Actually, a difference in these quantities can be expected. The wave vector k generating the D-S rings for the first order diffraction, has a different direction as the one generating the D-S rings for the second order diffraction. However, in Δq_H , as well as in Δq_{2H} , these volumes appear in a sum weighted by the power of the possible reflections. This should soften their individual differences, unless texture is very sharp and, consisting of a single dominant component. In this case the reciprocal space will be similar to the one of a mosaic crystal, and the theory of Zachariasen [4] becomes applicable. Nevertheless, since the crystallite misorientation breath is of the order of a degree, even for a very sharp texture, the absorption term due to secondary extinction is negligible. On the other hand, diffracted beam intensities are at least two orders of magnitude lower than the incident beam, and the second

terms of Eqs. (7) and (8) should be negligible. This is certainly the case of (8) for a powder; however, every diffracting situation involves a large numbers of crystallite orientations in Eulerian space, defining a curve. If some of these curves cross zones of high pole density in the crystallite orientation distribution function (CODF) of a strongly textured sample, the second term of (7) could become significant. In the case of a powder, no volume differences are present because of the random orientation of the crystallites, and thus $\Delta q_{2H}^P = \Delta q_H^P$, and to a good approximation

$$i_{2H} = i_H \tag{10}$$

3. Secondary extinction correction

To obtain a viable secondary extinction correction method is beyond the scope of this work, although J_{D-S} in principle can fulfill this task if the quantities $V_{H'}^H$ for possible reflections are calculated. However, this implies the knowledge of the density $\rho_{H'}^H(\omega)$, which can only be obtained if the CODF is known, as it has been done in [3] for the case of neutron diffraction. The CODF used there was obtained by the conventional method of three pole figures as an approximation.

4. Discussion and conclusions

Equation (6) states that the integrated intensity of D-S rings is actually affected by secondary extinction. Additionally, Eq. (10) indicates that secondary extinction affects reflections of different order of a given set of planes approximately in the same proportion. If the present result can be experimentally verified, the implication is that observed systematic differences in the maxima of pole figures of reflections of different order, are to be attributed to error propagation. This can clearly affect both pole figures in different ways. Another likely possibility can be a heterogeneous texture, since first and second order reflections have small differences in penetration depth. If contrary to (10), i_H and i_{2H} show the same relation to each other as in pole figures at the maxima, this would suggest that primary extinction is present in those cases.

Two theta X-ray diffraction scans of rolled and annealed samples of cubic symmetry materials, whose textures are well known and consist of few dominant texture components, could be done to verify this theory.

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