Point-Defect Chemistry on the Polarization Behavior of Niobium Doped Bismuth Titanate

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Abstract: The present work shows the defect chemistry at room temperature of $Bi_4Ti_3O_{12}$, emphasizing the effect of point defects on the ferroelectric properties. Electrical measurements of conductivity, dielectric permittivity and dielectric loss as well as structural characterization and Electron Spin Resonance (ESR) were used to deduce the existence of different point defects. Pure and Niobium doped bismuth titanate ceramic were prepared by a conventional solid state reaction technique. Rietveld refinement analysis suggested that niobium atoms occupy the titanium lattice sites and the presence of bismuth vacancies. Electron Spin Resonance measurements showed signals that are associated to iron impurities. The present communication supports the models of compensation mechanisms dominated by free electrons and bismuth vacancies.

Keywords: Ceramics; Dielectric properties; Point defects; Electrical properties.

Resumen: En el presente trabajo se estudia la química de defectos del $Bi_4Ti_3O_{12}$ a temperatura ambiente, haciendo énfasis en el efecto de los defectos puntuales sobre las propiedades ferroelectricas. Mediciones de conductividad eléctrica, permitividad dieléctrica, perdida dieléctrica, caracterización estructural y resonancia de spin electrónico fueron utilizadas para demostrar la existencia de diferentes defectos puntuales. Titanato de Bismuto puro y dopado con niobio fueron sintetizados a partir del método convencional "Reacción del estado sólido". El análisis de refinamiento de Rietveld reveló la formación de vacancias de bismuto al igual que la formación de átomos de niobio en los sitios atómicos del titanio, mientras que las mediciones de resonancia de spin electrónico revelaron señales asociadas a impurezas de hierro. El presente comunicado soporta mecanismos de compensación dominados por la presencia de electrones libres y vacancias de bismuto.

Palabras clave: Cerámicos; Propiedades dieléctricas, Defectos puntuales; Propiedades eléctricas.

1. Introduction

In recent years ferroelectric random access memories (FeRAMs) have attracted considerable attention to be applied as a nonvolatile technology, because they combine the DRAM benefits such as small cell size (22 F², "F" stands for feature size), low voltage of operation and fast read/write access time (10ns/10ns), with nonvolatile data storage [1-4]. One of the designs considers the use of a ferroelectric material in the capacitor of a FeRAM cell [5-7]. Taking advantage of the polarization properties of the ferroelectric material, the positive and negative remnant polarization states could be codified as "0" and "1" digital states in a binary code [4, 8-10]. However, the reading process requires a polarizing-depolarizing external action which implies fatigue problems [3, 7, 11]. Bi₄Ti₃O₁₂ has shown to be a good ferroelectric material for this application, the drawback of the pure compound is the relatively high leakage current. Lanthanum doping has shown to solve this problem [12].

The crystal structure of $Bi_4Ti_3O_{12}$ can be described by titanium atoms surrounded by six oxygen atoms ([TiO₆] clusters) in an octahedral configuration. These octahedrons are tilted into the orthorhombic structure. The [TiO₆] clusters are sandwiched between the bismuth-oxide layers, while bismuth atoms are located in the corners of pseudo-perovskite units surrounding the [TiO₆] octahedral clusters as shown in Fig. 1 [13-14].

The origin of the polarization properties in $Bi_4Ti_3O_{12}$ is attributed to the tilting of the TiO_6 octahedron cell from the c-axis and a-displacement of the bismuth atoms in the perovskite layer [15-16].

Recent studies have reported that the substitution of Ti^{4+} ions by Nb⁵⁺ ions in the crystal structure of Bi₄Ti₃O₁₂, results in the improvement of the polarization properties [17-19]. Since Nb⁵⁺ ions donate more electrons to the crystal structure than Ti⁴⁺ ions (Nb⁵⁺ ion is an aliovalent dopant), the electrical neutrality is disrupted, so spontaneous defect generation occurs



Fig. 1. Schematic representation of the crystal structure of $Bi_4Ti_3O_{12}$.

to restore neutrality [20]. Using the Kröger-Vink notation, the simplest compensations are:

$$Nb_2O_5 \rightarrow in Bi_4Ti_3O_{12} \rightarrow 2Nb_{Ti}^{\bullet} + 2e' \qquad (1)$$
$$3Nb_2O_5 \rightarrow in Bi_4Ti_3O_{12} \rightarrow 6Nb_{Ti}^{\bullet} + 2V_{Bi}^{\prime\prime\prime} \qquad (2)$$

Titanium vacancies $(V_{Bi}^{'''})$ could be included in Eq. (2) however, it is known that titanium vacancy formation requires a high amount of energy [21]. Thus, it is possible that Nb doping compensation could be dominated by conduction electrons (e') and bismuth vacancies ($V_{Bi}^{'''}$).

Eqs. (1) and (2) suggest the simplest compensation mechanisms assuming that the only possible defects are holes (h^{\bullet}) , free electrons (e'), Bismuth vacancies $(V_{Bi}^{\prime\prime\prime})$, Titanium vacancies $(V_{Ti}^{\prime\prime\prime\prime})$ and oxygen vacancies $(V_O^{\bullet\bullet})$. However it is clear, that the number of the different mechanisms of compensation depend not only on the concentration of Nb⁵⁺ ions but also on the presence of unavoidable impurities in the precursors and other possible defect complexes in the lattice [22-23].

The aim of this work is to contrast electrical properties, overall the polarization ones, with Eqs. (1) and (2) and to seek for other point defects using Electron Spin Resonance (ESR). To simplify variables the addition of lanthanum was deliberately avoided. It is well known that point defects have a strong influence on the polarization properties of a material, thus it is crucial to have a clear model about the defect chemistry of $Bi_4Ti_3O_{12}$.

2. Materials and methods

 $Bi_4Ti_3O_{12}$ ceramic samples both pure and doped with Nb were fabricated by a conventional solid-phase reaction technique.

TiO₂ (Merck, 99% purity), Bi₂O₃ (Sigma-Aldrich, 99.9%) and Nb₂O₅ (Sigma-Aldrich, 99.9%) were used as starting reagents. All the powders were weighted and mixed in a polyethylene container with de-ionized water and zirconia balls (ZrO₂). To homogenize the mixture, the container was rotated for 12 h and then heated in an oven at 80 °C for 18 h to remove moisture. Once dried, the powders were placed in a platinum crucible which was protected by an alumina plate inside of the furnace (Carbolite RHF 17/3E). The powder mixture was calcined at 1100° C (heating rate = 10° C/min) in two steps. The first heating step was at 750°C during 2h to promote the formation of Bi₄Ti₃O₁₂ and intermediate bismuth titanate phases. The second heating step was at 1100°C during 1h to obtain Bi₄Ti₃O₁₂ single phase powders [24]. In every step, the weight of the samples, before and after the thermal treatments was recorded in order to ensure that there was not a weight loss.

The solid solution formation can be written as:

 $2Bi_2O_3 + 3(1-x)TiO_2 + 3x/2Nb_2O_5 \rightarrow Bi_4(Ti_{1-x}Nb_x)_3O_{12} + 3/4xO_2(3)$

From the above equation it can be observed that the oxidant character of the chamber (Pt crucible covered with alumina plate) increases when the dopant concentration increases.

The calcined powders were analyzed for the presence of phases using X-ray diffractometer (D8-focus Brucker diffractometer) equipped with Cu K_{α} radiation. Bi₄Ti₃O₁₂ powders were uniaxially pressed into discs (115 MPa) and sintered in air at 1100 °C for 1 h [17]. The lattice parameters and the unit cell volume were determined by the Rietveld method using the software "Topas-academic version 4.1" [25-26].

The above mentioned synthesis treatment was designed to accomplish the complete dissolution of the highest niobium concentration (2 at. %) into $Bi_4Ti_3O_{12}$. Such determination was carried out by varying the dwell time of the furnace and measuring the lattice parameters. When the complete dissolution is accomplished the lattice parameters do not change anymore at longer dwell times. Moreover, sintered samples were mirror polished and the surface was observed through optical microscopy. Any secondary phase is commonly observed as small spots on the surface, but in this case, using the processing conditions described above only one phase was observed.

The microstructure analysis was carried by scanning electron microscopy (SEM, JEOL JSM-6701F) on polished and chemically etched surfaces. Based on literature, the chemical etching essays were carried out with HF/Nh₄F/H₂O (molar ratio 2:1.2:3, at 50°C during 180 seconds) [27]. The average grain size was determined using the micrographs and the linear intercept technique [24, 28].

To conduct the electrical characterization, Au-Pd electrodes were deposited by sputtering technique on each side of the discs. Copper wires were cold soldered onto the Au-Pd pads using silver paste. The entire device was covered with a high resistivity epoxy resin (Epolyglas MPT-M3, Mexico) [29]. The room-temperature electrical conductivity, dielectric constant and dielectric loss factor were measured using LCR Meter Escort ELC-3133A at 1 kHz. Hysteresis loops were recorded using an automatic Polarization-Electric field (P-E) loop tracer model RT-66A of Radiant Technologies brand [30-31].

Electron Spin Resonance (ESR) measurements of the ceramic powders were carried out at the X-band on a JEOL JES-RES 3X spectrometer, operating at 100 KHz field modulation. The ESR X-band spectra were recorded at 77K.

3. Results and discussion

3.1 Structural characterization

Fig. 2(a) shows the X-ray diffraction patterns of the different solid solutions $Bi_4(Ti_{1-X}Nb_X)_3O_{12}$ (0.0<X<2 at. %). All patterns coincide with the XRD file for $Bi_4Ti_3O_{12}$ (JCPDS file 035-0795). These results attest to the formation of pure $Bi_4Ti_3O_{12}$ phase even when the niobium is introduced into $Bi_4Ti_3O_{12}$ cell (There is not formation of any secondary phases related to unreacted precursors such as Nb₂O₃, TiO₂ or BiO₂). A zoom over the main characteristic planes of $Bi_4Ti_3O_{12}$ is shown in Fig. 2(b). In general, this figure shows displacements of the diffracted planes which indicates a solid solution formation and also it indicates a change in cell volume [25, 32-33].



Fig. 2. X-ray patterns of the (a) $Bi_4(Ti_{1-X},Nb_X)_3O_{12}$ solid solutions and (b) Zoom on the main characteristic peaks of $Bi_4Ti_3O_{12}$

To understand the changes of $Bi_4Ti_3O_{12}$ structural properties, a Rietveld analysis was performed in each sample to calculate the lattice parameters of the orthorhombic crystal structure of $Bi_4Ti_3O_{12}$. Values of the quality factor (R_{wp}) were between 10.42 and 12.78 % which are in the range of the values reported for this material [26]. As observed in Fig. 3, the lattice parameter "a", "b" and "c" increased when the Nb concentration was increased. This behavior suggests that Nb⁵⁺ ions replaced the Ti⁴⁺ ions in the $Bi_4Ti_3O_{12}$ crystal structure [25], it is well known that Nb⁵⁺ ions (78 pm) possess a slightly larger ionic radius than Ti⁴⁺ ions (74.5 pm) [25].



Fig. 3. Unit cell parameters **a**, **b** and **c** as a function of the Nb concentration.

As we expected, the volume of the unit cell of the solid solutions increased when the Nb⁵⁺ concentration was increased (Fig. 4). Different slopes are also observed in the behavior of each lattice parameters as a function of Nb content (Fig. 3) and hence, in the unit cell volume (Fig. 4), In fig. 4 m_1 slope suggests the substitution of Ti⁴⁺ ions by Nb⁵⁺ ions, in the other hand m_2 slope is related to the incorporation of Nb⁵⁺ ions into the Bi₄Ti₃O₁₂ crystal structure as well as the formation of bismuth vacancies, these ones tend to reduce the growth rate of the unit cell volume.



Fig. 4. Unit cell volume as a function of the Nb concentration.

3.2 Microstructural characterization

Fig. 5 shows the SEM micrograph of a chemical etched ceramic sample of $Bi_4Ti_3O_{12}$ pellet. Generally, Plate-like grains are observed which are characteristic of this kind of materials and is a result of the strong anisotropy of the crystal structure [27, 34].



Fig. 5. SEM micrograph of Bi₄Ti₃O₁₂ pellet.

The average grain size of the solid solutions decreases when the amount of niobium increases as it can be appreciated in Fig. 6. The average grain size decreases because the incorporation of niobium into the $Bi_4Ti_3O_{12}$ distorts the crystalline lattice, hindering the propagation of the lattice through the space, hence, more grain boundaries will appear [29, 35].





Fig. 6. Average grain size as a function of Nb concentration.

3.3 Electrical characterization

Electrical properties are shown in Figs. 7-9. As observed, in these plots there are two different behaviors suggesting that these curves are closely related. At Nb concentrations smaller or equal to 0.8 atomic %, the conductivity increases when the Nb concentration increases (Fig.7). This increment in conductivity could be explained by the Eq. (1) where free electrons compensate the Nb substitution [17-20]. Free electrons are polarizable entities so the dielectric permittivity increases in this range of Nb concentrations (Fig. 8), but due to these free electrons the polarization is rapidly lost by leakage, hence the dielectric loss also increases (Fig. 9) as a function of Nb concentration [29, 35].



Fig. 7. Electrical conductivity of the $Bi_4(Ti_{1-X},Nb_X)_3O_{12}$ solid solutions as a function of Nb concentration.

At Nb concentrations greater than 0.8 atomic % the electrical conductivity decreases (Fig. 7), this supports Eq. (2) where bismuth vacancies become the main compensation mechanism. The decrement in the conductivity value is because this mechanism does not involve charge carriers [29, 35]. In this range of dopant concentration, another resistivity source is the grain boundary as observed in Fig. 6. Then the low conductivity observed at Nb concentrations greater than 0.8 atomic % could be the result of the absence of charge carriers (Eq. 2) and the increment of the grain boundaries [36-37] (Fig. 6).



Fig. 8. Dielectric constant of the $Bi_4(Ti_{1-X},Nb_X)_3O_{12}$ solid solutions, as a function of Nb concentration.



Fig. 9. Dielectric loss of the $Bi_4(Ti_{1-X},Nb_X)_3O_{12}$ solid solutions as a function of Nb concentration.

3.4 Polarization characterization

Fig. 10 shows the polarization loops for pure and 0.2 atomic % of niobium doped $Bi_4Ti_3O_{12}$ ceramics. The loops do not show

saturation [31, 38] and higher electric fields were not applied due to the risk of a breakdown. As observed in Fig. 10, there is a notorious increment in the loop area when the sample is doped which is commonly associated to a strong leakage current [39]. In general this increment is related to dielectric loss [39-40]. It can be seen in Figs. 11 and 12 that the loop area decreases when the dopant content increases which suggest that the dielectric loss value is strongly related to the hysteresis area.



Fig. 10. Hysteresis loops of the $Bi_4(Ti_{1-X},Nb_X)_3O_{12}$ solid solutions, with X, ranging from 0.0 to 0.2 at. %

However, the correspondence between the hysteresis areas (Figs. 10-12) and the dielectric loss is not that perfect. According to Fig. 10 the biggest hysteresis area is presented for the 0.2 atomic % Nb sample but the highest loss is presented by the 0.8 atomic % Nb sample (Fig.9). One source of dielectric loss is the presence of free electrons but another source is the presence of oxygen vacancies [41-42]. It has been observed that oxygen vacancies have a domain pinning effect increasing the difficulty to polarize the material [17-20]. As established in Eq. (3), when the dopant concentration is increased there is an increment of oxygen inside of the synthesis chamber or, in other words, the oxygen partial pressure is increased [43]. Such dependence of the oxygen partial pressure on the dopant concentration is well described by Yet-Ming chang et all [44]. This excess in oxygen could neutralize some oxygen vacancies in thermal equilibrium improving, hence, the ability of the material to respond to the external electric field [42, 45]. The oxidation equation can be written as:

$$O_2 + 2V_0^{**} + 4e' \to 2O_0^X$$
 (4)

It is assumed that the oxidation process occurring during the synthesis (Eqs. 3 and 4), contributed to establish the oxygen vacancy concentrations in the samples at room temperature. In the present work the polarization at maximum electric field (either positive or negative) is named P_m . This value represents the ability

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of the material to be polarized at a given value of electrical field [46]. Since the same range of electric field (in fact the same electric field pulse) was used in all samples to obtain the loops, it is possible to compare the ability to store electric charge among the samples. As observed in Figs. 10 and 11, for samples with Nb content smaller or equal to 0.8 atomic % there is not a clear trend in P_m values, however for samples with Nb content higher than 0.8 atomic % (Fig. 12) Pm decreases when the Nb content increases. It has been observed that both, oxygen and bismuth vacancies are detrimental of the polarization properties [17-20, 31, 47]. It is well known that the main structural cause of polarization in Bi₄Ti₃O₁₂ is the a-axis displacement of bismuth atoms in the perovskite site [42, 45]. In the range of 0-0.2 Nb atomic %, oxygen vacancies are annihilated by the oxidizing atmosphere [42-43], as it was mentioned before; hence P_m value increases when 0.2 atomic % of Nb is incorporated in the crystal lattice (Fig. 10). At higher Nb concentration there is also a depolarizing effect due to free electrons (Eq. 1 and Fig. 7) so Pm decreases between 0.2 and 0.8 Nb atomic % [42].



Fig. 11. Hysteresis loops of the Bi₄(Ti_{1-X},Nb_X)₃O₁₂ solid solutions, with X, ranging from 0.2 to 0.8 at. %





Fig. 12. (a) Hysteresis loops of the $Bi_4(Ti_{1-X},Nb_X)_3O_{12}$ solid solutions, with X, ranging from 1 to 2 at. % and (b) Summary of the "Polarization vs electric field" results (Saturation polarization as a function of Nb dopant content)

At Nb concentrations higher than 0.8 atomic % the compensation mechanism (2) dominates, therefore there is an increment in the bismuth vacancies concentration leading to a decrement of P_m value (Fig.12(a)) [45]. A summary of effect of niobium on the polarization properties of Bi₄Ti₃O₁₂ is shown in Fig. 12(b).

3.5 ESR analysis of Bi₄Ti₃O₁₂

Fig. 13 shows the ESR spectra of the undoped Bi₄Ti₃O₁₂. This ESR spectrum shows well-defined signals labeled A, B and C

- A signal: Fe³⁺ V_O [48]
 B signal: (Fe'_{Ti} V_O^{••})[•] [49]
 C signal: (Ti'_{Ti} V_O^{••})[•] [50-51]

In sake to avoid confusion and to be consistent to the Kröger-Vink notation, the complex defect for the A signal could be written as.



Fig. 13. ESR spectrum of Bi₄Ti₃O₁₂ at 77 K and microwave frequency of 9.1489 GHz.

A signal

Iron (Fe) is a common impurity in precursors and it is reported by the manufacturer a concentration of around 0.005% in TiO_2 hence it can be considered that A and B defects are marginal in the material.

As one can see the main part of the Fe³⁺ set lines contribute to a complex superposition of the ESR signals $(g_1 \sim 8.905, g_2 \sim 5.7, g_3 \sim 4.31 \text{ and } g_4 \sim 2.05)$ [52]. In other words, the ESR Fe³⁺ signal has to do with the A, B and C signals.

The wide A signal with $g \sim 8.905$ was assigned to Fe³⁺ ions located in Ti⁴⁺ sites where oxygen octahedral have lost an oxygen atom to compensate charge and so leading to a strong crystal distortion [34]. There are several reports related to A signal, where it was observed at around 73.4 mT (like in Fig. 13) in BaTiO₃ [53]. All of these reports associate the signal to a defect with $g \sim 9$. However, it is not clear whether the oxygen vacancy is neutral or not. Some reports suggest that the entire defect acts as an electron deep trap [54-55]. If the vacancies were associated with two positive charges, this defect would produce the B signal so the electrical charge associated with the oxygen vacancy remains unclear. All the reports agree that this ESR signal comes from the Fe³⁺ ion which is substituting the Ti⁴⁺ ion in the lattice [55].

In the present work the A signal corresponds to the center $(Fe'_{Ti} - V_0)'$ with $g \sim 8.905$.

B signal

When the Fe³⁺ ion substitutes the Ti⁴⁺ ion, a negative charge center at Fe site will be created (Fe'_{Ti}) . In that case, the negative center could attract an oxygen vacancy $(V_0^{\bullet\bullet})$ around it producing a single charged F⁺ center [49].

The B signal represents two resonances, the first resonance at $H \sim 124.26 mT$ is representative for the center $(Fe'_{Ti} - V_O^{\bullet\bullet})^{\bullet}$ || with $g_{\parallel} \sim 5.7$, while the second resonance at $H \sim 157.6 mT$ is due to a center $(Fe'_{Ti} - V_O^{\bullet\bullet})^{\bullet}_{\perp}$ with $g_{\perp} \sim 4.31$.

C signal

The C signal in Fig. 13 most likely belongs to the center $(Ti'_{Ti} - V_O^{\bullet\bullet})^{\bullet}$ at $H \sim 340 mT$ and $g \sim 1.91$ [50-51] although there is some influence of the Fe³⁺ ions.

The change in oxidation state from Ti^{4+} to Ti^{3+} is commonly observed in reducing atmospheres [50-51]. At normal pressure this reduction occurs at high temperatures. Hence, these defects could be produced during the synthesis of $Bi_4Ti_3O_{12}$ powders. As a defect equation, this reaction can be written as:

$$Ti^{3+} \to in Bi_4 Ti_3 O_{12} \to Ti'_{Ti} \qquad (5)$$

The material can compensate this substitution in different ways but to produce the C signal (Fig. 13) some reports suggest the presence of a oxygen vacancy giving a complex defect with a net positive charge (F^+ center) [50-51, 54]. Since the synthesis conditions were carry out in a oxidative atmosphere (Eq. 3) it is expected a marginal Ti^{3+} concentration and therefore, small influence of $(Ti'_{Ti} - V_O^{\bullet\bullet})^{\bullet\bullet}$ complex on the properties.

3.6 ESR analysis of Nb doped Bi₄Ti₃O₁₂

The effect of Nb doping on the ESR graphs is shown in Figs. 14 and 15. When the Nb concentration increases, the intensities of A and C signals decrease (Figs. 14 and 15) in all the concentrations studied in this work. However, regarding the B signal there are two cases: for Nb concentrations smaller or equal to 0.8 atomic %, when the Nb concentration increases the intensity of the B signal decreases (Fig. 14), whereas for concentrations higher than 0.8 atomic % (Fig. 15) the contrary occurs.



Fig. 14. ESR spectrum of the $Bi_4(Ti_{1-X},Nb_X)_3O_{12}$ solid solutions with X, ranging from 0.0 to 0.4 at. %, at 77 K and microwave frequency of 9.1489 GHz.

The behavior of the A and C signal intensities with respect to Nb concentration could be explained by the oxidation associated with the doping process (Eqs. 3 and 4). Oxidation could explain the reduction of V_0 and Ti³⁺ populations [42] (Figs. 14

BiT-Pure

and 15), but in the case of B signal, its behavior seems to correspond to the compensation mechanisms (Eqs. 1 and 2) very well; at low Nb concentrations (Fig. 14), the B signal disappears, suggesting a relationship with the free electrons present at these concentrations (Fig. 7). At higher Nb concentrations (Fig. 15), the B signal does not disappear, that might be due to the absence of free electrons. This signal survives, though, the oxidative conditions at high Nb concentrations. Hence, the results suggest that the signal B is deactivated in the ESR graph, by the presence of free electrons [22-23].



Fig. 15. ESR spectrum of the $Bi_4(Ti_{1-X},Nb_X)_3O_{12}$ solid solutions with X, ranging from 1 to 2 at. %, DPPH is the standard sample with g = 2.

4. Conclusions

Values of conductivity, dielectric permittivity, dielectric loss, and lattice parameters as function of Nb additions into the Bi- $_4\text{Ti}_3\text{O}_{12}$ crystal lattice coincide very well with the existence of

two compensation mechanisms used by the material to re-establish electrical neutrality, namely, free electrons and bismuth vacancies. Compensation by free electrons seems to dominate at Nb concentrations lower than 0.8 atomic %, whereas at higher Nb concentrations, bismuth vacancies seem to be the dominant compensation mechanism.

The characteristics of hysteresis P-E loops seem to depend only on the presence of free electrons, oxygen vacancies and bismuth vacancies.

ESR analysis revealed the defect complexes , (and centers in the $Bi_4Ti_3O_{12}$ sample. ESR results suggest that the first and third ESR signals were neutralized by an oxidation process whereas the ESR signal of the second defect seems to depend on the existence of free electrons. Since the presence of Fe in this case is marginal, it is considered that the Fe associated defects have a minor influence on the electric properties. On the other hand, the concentration of Ti^{3+} is also marginal because the material was processed in oxidizing conditions during this work, where the ion tends to change its oxidation state to Ti^{4+} .

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