REVIEW ARTICLE

Multiplanar transesophageal echocardiography for the evaluation and percutaneous management of ostium secundum atrial septal defects in the adult

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Abstract

The purpose of this paper is to review the usefulness of multiplanar transesophageal echocardiography before, during and after percutaneous transcatheter closure of secundum atrial septal defects. Transesophageal echocardiography imaging techniques, including their role in patient selection, procedural guidance and immediate assessment of technical success and complications are described and discussed in this review. Percutaneous transcatheter closure is indicated for ostium secundum atrial septal defects of less than 40 mm in maximal diameter. The defect must have a favorable anatomy, with adequate rims of at least 5 mm to anchor the prosthesis. Transesophageal echocardiography plays a critical role before the procedure in identifying potential candidates for percutaneous closure and to exclude those with unfavorable anatomy or associated lesions, which could not be addressed percutaneously. Transesophageal echocardiography is also important during the procedure to guide the deployment of the device. After device deployment, the echocardiographer must assess the device (integrity, position and stability), residual shunt, atrio-ventricular valve regurgitation, obstruction to systemic or venous return and pericardial effusion, in order to determine procedural success and diagnose immediate complications.
Introduction

Atrial septal defects (ASD) represent 10% of all congenital cardiac abnormalities. Ostium secundum (OS) ASD is the 4th most common congenital heart disease with an incidence of 3.78 per 10,000 live births. Surgical repair of ASD using a median sternotomy is safe, however it is associated with significant morbidity, discomfort and scarring. Percutaneous transcatheter closure (PTC) of ostium secundum ASD is an attractive alternative.

The role of echocardiography during interventional procedures is well documented and several techniques have been described for the guidance of PTC of ASD. The purpose of this review is to provide an illustrated echocardiographic guide on the use of multiplane 2D transesophageal echocardiography (TEE) before, during and after percutaneous ostium secundum ASD closure. Current indications for ASD closure are out of the scope of this paper and can be reviewed elsewhere.

Measurement of ASD size

The ideal scenario for PTC is a single ASD with a maximal diameter of less than 20 mm, with firm and adequately sized rims. Defects up to 40 mm in diameter with firm and adequate rims have been closed successfully via PTC, as have multiple ASDs and those associated with atrial septal aneurysms. PTC cannot presently be recommended for ASD larger than 40 mm.

The size of the ASD changes during the cardiac cycle; the maximal ASD diameter must be measured at the end of ventricular systole. The maximal two-dimensional measurements are taken in two orthogonal views as, for example, the maximal transverse defect diameter at 0° in the 4-chamber view and the maximal longitudinal diameter at 70-90° in the bi-caval view (Figure 1). The defect should not be measured with Color Doppler (CD), as this technique leads to overestimation of true ASD size.

Measurement of the ASD rims

It is critical to recognize the nomenclature and understand the anatomical disposition of the rims or edges bordering the ASD (Figure 2). Rims must be measured on TEE examination to determine if the patient is a candidate for PTC. The minimal two-dimensional measurement is taken. Rims must measure at least 5 mm in length, the most important being the border of the inferior vena cava (IVC).

Several authors have referred to these edges with anatomical connotations and others with spatial connotations. For example, some authors describe the “anteroseptal rim”, which corresponds anatomically to the aortic rim (Ao). To simplify this classification we refer to Table 1. For reasons of clarity, anatomic connotations are used herein.

TEE evaluation should begin in the mid-esophageal 4-chamber view at 0°. This view permits localization of the posterior rim (Figure 3), and the AV rim (Figure 4), which does not need to be large to permit PCT.

From the mid-esophageal 4-chamber view, the probe should be pulled out with a slight right rotation to permit the localization of the right upper pulmonary vein (RUPV) rim at the upper-esophageal level (Figure 5). To achieve proper visualization of the Ao (Figure 6), the probe must be at a mid-esophageal level, at 45° with a leftward (counter-clockwise) rotation of the probe to allow simultaneous visualization of the aorta (AA) and the
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Sometimes the Ao is very small, or even absent (Figure 7), this finding makes the procedure more challenging but does not preclude PTC of the defect.

From the mid-esophageal four-chamber view, the multiplane angle is rotated approximately 90° to the 2-chamber view. With slight probe rotation to the right (clockwise rotation of the shaft of the probe), the IVC and the superior vena cava (SVC) are seen. The SVC rim is measured from the superior lip of the ASD to the insertion of the rim into the SVC (Figure 8A). The mid-esophageal bi-caval view provides an excellent view of the inter-atrial septum, allowing interrogation of the septum with CD.

The evaluation of the IVC rim is fundamental (Figure 8B), because PTC would be very challenging in its absence, it is, however, usually the most difficult to visualize and measure, and retroflexion of the probe may help when it is not visible in the standard bi-caval view. From the bi-caval view, the TEE probe is advanced into the stomach, retroflexed and slowly pulled back to the lower esophagus. At this point, the IVC rim is measured from the inferior lip of the ASD to the insertion of the rim into the IVC.

Measurement of the CS rim (Figure 9) requires a lower esophageal position, near or at the level of the gastro-esophageal junction at 0°, where the CS will be seen on the right of the monitor screen. It is necessary to perform

**Figure 1.** Mid-esophageal 4-chamber view at 0 degree depicting an ostium secundum ASD with a maximal transverse diameter of 18 mm (green dashed line). Beside, mid-esophageal bi-caval view at 97 degrees showing an ASD with a maximal longitudinal diameter of 14 mm (green dashed line). Respective schematic representations, with the anatomic correlations are also shown. Abbreviations as follows: IVC = inferior vena cava, LA = left atrium, LV = left ventricle, RA = right atrium, RV = right ventricle, SVC = Superior vena cava.

**Figure 2.** Schematic representation of the locations of the ASD rims. Aortic (Ao) or antero-superior rim; mitral (M), antero-inferior, or AV rim; coronary sinus (CS) or inferior rim; inferior vena cava (IVC) or postero-inferior rim; posterior rim (P); right upper pulmonary vein rim (RUPV); superior vena cava (SVC) or postero-superior rim.
a slight retroflexion of the probe to obtain a view of both the lower end of the ASD and the CS.

**Anatomic variants**

It is fundamental to scan the atrial septum with CD to rule-out the presence of multiple ASDs. The presence of multiple defects of the inter-atrial septum have been reported in 7.3% of patients with ostium secundum ASD.11

In cases of multiple ASDs in close proximity to one another, PTC with a single device can be attempted. In such cases, the device should be implanted in the largest defect, with the smaller adjacent septal defect being enclosed in the area covered by the two disks, hence being occluded by the same device. A major concern in the presence of two separate septal defects (Figure 10) is the possibility of missing other supplementary defects. In these cases, it has been suggested to inflate two balloons simultaneously under TEE guidance and to exclude a possible third atrial septal defect with CD assessment.9,16

Aneurysm of the inter-atrial septum is defined as: 1) diameter of the base of the aneurysmatic portion of the inter atrial septum (IAS) measuring ≥ 15 mm and either 2) protrusion of the IAS, or part of it ≥15 mm beyond the plane of the IAS or 3) phasic excursion of the IAS during the cardio respiratory cycle ≥15 mm in total amplitude.17

Atrial septal aneurysms have a prevalence of 2.2% and are associated with cerebral ischemic events.14 They are associated with a patent foramen ovale (PFO), an ASD or fenestrations of the atrial septum. It is important to cautiously measure the size of an ASD associated with a septal aneurysm since a small ASD (< 20 mm) associated with a septal aneurysm can be potentially, although not ideally, closed with a PFO occluder device whereas an ASD occluder device should be used for PTC when the associated defect is larger than 20 mm in maximal diameter.19

In summary, the baseline TEE must meet the criteria described in Table 2 in order for the patient to be eligible for percutaneous closure.

**Pre-procedural TEE guidance of PTC**

In most centers, PTC is performed under general anesthesia with echocardiographic TEE guidance because intracardiac echo without anesthesia remains an expensive option. Before the ASD closure procedure, TEE measurements could be performed in two ways; i.e. dynamic balloon measurement or static balloon measurement.

1) Dynamic balloon measurement. Under TEE visualization and guidance, in the 4-chamber mid-esophageal view, at 0°, a deflated balloon catheter is passed through the ASD, into the left atrium over a guidewire and inflated to a diameter of approximately 5 mm greater than the estimated ASD diameter, using a mixture of 30% radiographic contrast and 70% saline. CD is used to image flow through the ASD and the balloon is then gently pulled back, at which stage color flow on the TEE will disappear when balloon occlusion is complete. If color flow persists it is likely that the ASD is very large or a second ASD (or PFO) exists, requiring more detailed TEE analysis. While

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**Table 1.** Common denomination of the atrial septal defect rims, with approximate orientation of the TEE probe. AV, atrio-ventricular; IVC, inferior vena cava; RUPV, right upper pulmonary vein; SVC, superior vena cava.

<table>
<thead>
<tr>
<th>Probe Orientation</th>
<th>Anatomic Spatial</th>
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</thead>
<tbody>
<tr>
<td>0 degree Upper-esophageal</td>
<td>RUPV -</td>
</tr>
<tr>
<td>0 degree Mid-esophageal</td>
<td>- Posterior</td>
</tr>
<tr>
<td>0 degree Mid-esophageal</td>
<td>AV or mitral Antero-inferior</td>
</tr>
<tr>
<td>0 degree Low-esophageal</td>
<td>Coronary sinus Inferior</td>
</tr>
<tr>
<td>45 degrees Mid-esophageal</td>
<td>Aortic Antero-superior</td>
</tr>
<tr>
<td>90 degrees Mid-esophageal</td>
<td>SVC Postero-superior</td>
</tr>
<tr>
<td>90 degrees Mid-esophageal</td>
<td>IVC Postero-inferior</td>
</tr>
</tbody>
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[Figure 3. TEE 4-chamber view depicting an adequate posterior rim for percutaneous closure (green line) of 20 mm. Below, the respective schematic representation with the anatomic correlations is shown. LA, left atrium; LV, left ventricle; RA, right atrium; RV, right ventricle.]
maintaining firm but not undue pressure on the septum and under continuous TEE guidance, the balloon is slowly deflated until it pops through the defect into the right atrium. The diameter at which this occurs is the stretched balloon diameter (SBD) of the ASD. The SBD is measured on TEE. In essence, the SBD represents the diameter of the firm margins of the ASD. The amount of contrast needed to inflate the balloon to this diameter is carefully recorded and the balloon is then completely deflated and withdrawn from the patient. Afterwards, it is re-inflated to the SBD volume and measured against a sizing plate.

It is recommended to repeat the procedure if there is a discrepancy greater than 1 mm between the TEE measurement of the ASD diameter and the SBD. It is important to have a good alignment when doing the measurement of the SBD, because misalignment will produce incorrect measurements. The ideal image is that of a figure “8” (see below).

2) Static balloon measurement. In most centers, the static balloon measurement technique is used. A highly compliant sizing balloon (i.e., Amplatzer sizing balloon) is inflated across the ASD until flow across the defect

Figure 4. Transesophageal 4-chamber view: The AV rim measures 9.5 mm (green line), which is adequate for PCT. Below, the schematic representation of the 4-chamber view, black arrow pointing to the AV rim. LA, left atrium; LV, left ventricle; RA, right atrium; RV, right ventricle.

Figure 5. TEE upper-esophageal 4-chamber view with rightward (clockwise) rotation of the probe revealing an adequate right upper pulmonary vein (RUPV) rim of 15 mm (green line). Beside, Doppler color image shows in red the inflow of the RUPV (white arrow). Note the correct ECG timing of the measure at the end of the ventricular systole while the atrio-ventricular valves are still closed.

Figure 6. Mid-esophageal short axis view of the aortic rim at 56 degrees with an adequate aortic rim (11 mm) for percutaneous closure (double arrow head). Below, the schematic representation of the same view is shown. AoV, aortic valve; PV, Pulmonary valve; RA, right atrium; RV, right ventricle.
disappears (stop-flow technique). This typically creates an indentation (sometimes minimal) on the balloon (Figure 11). Overstretching of the ASD should be avoided to prevent erosion related to the utilization of oversized devices.

The main advantage of this technique is its short inflation-deflation cycle, making the procedure much simpler. It is important to recognize that only when the largest diameter is strictly cranio-caudal in direction, will it truly estimate the full size of the defect, achieving a figure “8” pattern view.

The diameter of the indentation can also be measured with fluoroscopy (Figure 12) using calibration markers on the balloon catheter. SBDs by both methods are compared and measurements are repeated if there is a greater than 1 mm discrepancy.22

It is recommended to choose a device that is the same size of the SBP to prevent oversizing and erosions. However, some operators prefer devices 1-2 mm greater than the measured SBD22 and up to 2-4 mm greater than the SBD in the presence of large defects, in defects with a deficient or absent Ao, in defects with an aneurismal septum or in the presence of multiple defects.

Special considerations

In older patients, left diastolic ventricular dysfunction associated with elevated filling pressures is observed and may lead to secondary pulmonary hypertension. In these cases, the atrial septal defect, functioning as an overflow, may mask the presence of left ventricular diastolic dysfunction by an enhanced left-to-right shunt. If such a mechanism is suspected, temporary balloon occlusion of

Figure 7. Mid-esophageal short axis view at 59 degrees with color Doppler in the right panel demonstrating the absence of an aortic rim. Below, the schematic representation of the same view. AoV, aortic valve; LA, left atrium; RA, right atrium.

Figure 8. A) Mid-esophageal bi-caval view at 97 degrees, an adequate SVC rim is noted, measuring 13 mm (green line). B) Mid-esophageal bi-caval view at 97 degrees with an adequate IVC rim of 10 mm (green line). Respective schematic representations, with the anatomic correlations are also shown. Abbreviations as follows: IVC = inferior vena cava, LA = left atrium, RA = right atrium, SVC = superior vena cava.

Figure 9. TEE lower-esophageal 4-chamber view showing (green line) an adequate coronary sinus rim of 20 mm. Below the schematic representation showing the CS rim (double headed black arrow). CS, coronary sinus; LA, left atrium; LV, left ventricle; RV, right ventricle.
the defect should permit its unmasking. This condition is characterized by a marked increase of the left atrial pressure, particularly of the v-wave, and a change of the inflow pattern across the mitral valve resulting in a pathological increase of the E/A ratio. In these patients, closure of the ASD may lead to pulmonary edema. In elderly patients with co-existing left ventricular restriction, increased mean atrial pressures (> 10 mm Hg) and ASD, pre-conditioning treatment with intravenous dopamine, milrinone, and furosemide for 48-72 hr before definitive ASD closure with an Amplatzer septal occluder has been shown to prevent congestive heart failure after PTC.

| Characteristics of an atrial septal defect with suitable anatomy for PTC |
|-----------------------------|-----------------------------|
| ASD Type                    | Ostium secundum             |
| Size / Diameter             | ≤40 mm                      |
| Rim                         | ≥5 mm                       |
| Pulmonary Pressure           | ≤2/3 systemic               |
| Pulmonary vascular resistances | Reversible or ≤2/3 systemic |

TEE during device positioning, deployment, and release

Device preparation for delivery is an important process of PTC and requires a meticulous approach on behalf of the interventional cardiologist (Figure 13).

In order to ensure stability during device delivery, the interventional cardiologist will position a supportive guidewire, through the ASD and left atrium, most often into the left upper pulmonary vein (LUPV). The echocardiographer should follow the guidewire at 40-60° in the mid-esophageal view, and confirm its correct positioning from the RA, through the ASD and left atrium into the

Figure 10. Bi-caval view with leftward (counterclockwise) rotation of the probe. Atrial septal aneurysm measuring 15 mm (double arrowhead) is shown, associated with an atrial septal defect within the aneurysm (ASD 1) as well as a another atrial septal defect (ASD 2). Beside, Doppler color image in the right panel demonstrating the presence of the shunt.

Table 2. Atrial septal defect characteristics suitable for closure by PTC.

Figure 11. A) Mid-esophageal TEE in the short-axis AV view depicting a secundum ASD of 20 mm diameter, the correct alignment with the sizing balloon is demonstrated, achieving a waist in the middle (white double arrowhead), resulting in a figure “8” pattern. B) Secundum ASD with misalignment of the sizing balloon leading to an incorrect measurement of the sizing balloon diameter, (white double arrowhead). The schematic representations, with anatomic correlations are shown under the respective photos (black double arrowhead representing the sizing balloon diameter). Abbreviations as follows: AoV = aortic valve, IVC = inferior vena cava, RA = right atrium, SVC = Superior vena cava.

Figure 12. The indentation caused by the defect is also measured by fluoroscopy. The SBD is represented by the white double-headed arrow.
LUPV, where it should be left to give support and stability to the delivery sheath (Figure 14). Given the fragility of the left atrial appendage, it is essential to avoid entering this thin-walled structure with catheters or the stiff guidewire, because this could cause perforation and lead to pericardial effusion.

After having loaded the device in the delivery sheath, its insertion must be performed under TEE guidance. It is important to ensure that the tip of the delivery sheath is located in the left atrium, before deploying the left atrial disk of the closure device, in order to avoid deployment in the LUPV, the left ventricle or the left atrial appendage as this could cause deformation of the device, device entrapment or perforation of the atrial wall. Once the correct distal sheath position and the partially opened left disc position are confirmed by TEE, the left disk can be completely deployed (Figure 15). The device is then pulled back under TEE guidance toward the IAS so that the lower portion of the device catches the Ao or, in its absence, it encroaches the base of the aortic root. Thereafter the device is pulled toward the RA, so that its superior portion catches the superior aspect of the ASD (Figure 16). When resistance of the septum is encountered and TEE confirms good apposition of the LA disk with the rims of the ASD, the right atrial disk of the prosthesis is opened inside the RA, allowing the prosthesis to grasp the rims of the ASD between its two disks (Figure 17).

Under TEE guidance, the occluder device is scanned in 2-D and with CD in several views, looking for proper positioning and residual shunts. The echocardiographer must confirm that both disks are flattened with good apposition, and assess residual shunting. It is not uncommon to have discrete residual central or peri-prosthetic shunts, which usually will disappear after endothelialization of the occluder device (Figure 18).

When the Ao is absent, a typical “Y” pattern of the device being sandwiched around the AA should be seen.
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Figure 16. A) TEE short-axis mid-esophageal AV view. The left atrial disk of the device (LAD) is pulled towards the RA (white arrow) so that it encroaches (catches) on the aortic rim. B) TEE short-axis mid-esophageal AV view. Once the device is well aligned, it is pulled toward the RA allowing correct apposition of the device on all the rims of the defect. The relation of the device with the aorta at the level of the AoV is depicted. Abbreviations as follows: AoV = aortic valve, LA = left atrium, RA = right atrium, W = waist of the device.

(Figure 17). Failure to achieve this “Y” pattern of both disks requires device repositioning before release because this could lead to laceration of the aortic wall.

When a large Eustachian valve (EV) or Chiari network is present, it should be mentioned to the operator because it can cause device entrapment during deployment of the right atrial disk. This serious complication can be prevented by pushing back the structure using a second catheter.

Nearby structures might be compromised after positioning of the occluder device. In defects with a deficient IVC rim, inflow from the IVC or the CS might be obstructed. Mitral valve leaflets might be encroached by the occluder device, producing mitral regurgitation in a defect with a deficient AV rim and, inflow from the SVC and RUPV might be compromised in a defect with a deficient SVC rim.

The Minnesota maneuver or wiggle is performed prior to release, to ensure stability of the occluder device. This maneuver consists in a gentle pushing and pulling (to and fro) motion of the device, to ensure that the device does not prolapse into the left atrium and that, conversely, the device does not prolapse into the RA (Figure 19). After this maneuver, the device is released. It is not uncommon to observe a change of position of the device en bloc with the inter-atrial septum, as tension is relaxed (Figure 20).

Immediate post procedural evaluation

A thorough evaluation for presence of residual shunts is performed for future correlation. The device and adjacent structures are evaluated to rule out device malpositioning, interference with aortic, mitral, or tricuspid valvular function, caval, CS, or pulmonary venous return obstruction, and pericardial effusion.

Follow up

The presence of residual shunts should be reassessed; this could be achieved with contrast echocardiography with agitated normal saline, which opacifies the right sided cardiac chambers and may demonstrate the un-opacified jet of the left to right shunt. The potential of paradoxical embolus may be assessed by increasing right sided pressures with the Valsalva maneuver.

The results of ASD closure with PTC for secundum ASD have been reported; approximately 7% of patients have a residual shunt immediately after device release. In the long term, a 97% complete shunt closure rate should be expected. The remaining residual shunts are usually not hemodynamically significant.

Transcatheter ASD closure is followed by near normalization of heart structure and function. The reversal of RV volume overload has been shown as early as 3 weeks post procedure in children and 9 months in adults, also systolic pulmonary artery pressure dropped to near normal levels during the following few months. Abnormal septal motion of the inter-ventricular septum is expected to normalize shortly after the procedure.
The degree of right bundle branch block (RBBB) diminished after PTC with an overall improvement in 61% of patients, whereas a RBBB pattern became more marked in 2.5% of the patients. It is important to be aware of the potential long term complications such as encroachment of mitral or aortic valve leaflets, impairment of flow from the pulmonary veins, reactive or hemorrhagic pericarditis, and migration or dislodgement of the device. The use of aspirin for six months after the procedure is recommended, as well as antibiotic prophylaxis for six months after the procedure.

Follow up should include transthoracic echocardiography (TTE) the day following device deployment. Long-term follow up should be performed with TTE at three, six and 12 months after the procedure and when clinically indicated thereafter. In many centers a TEE is performed if there is clinical suspicion of a complication or if the TTE is inconclusive.

Conclusions
Percutaneous closure of significant shunting associated with secundum ASD represents an attractive less-invasive alternative therapy to surgery and is being increasingly performed worldwide. TEE is the ideal imaging and assessment tool to evaluate and guide procedures and determine immediate procedural success, while ruling out complications. Familiarization with TEE in this context is essential for the echocardiographer involved in the modern care of patients with ASD.

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References